



PUBLIC KEY CRYPTOGRAPHY: ENCRYPTION, SIGNATURES, FDH

The ROM, FDH, using the ROM

FROM PREVIOUS LECTURE

➤ Ciphers

- Stream ciphers : many follow OTP + PRG strategy
- Block ciphers : work on plaintext of limited size = block
output ciphertexts of same size
- Modes of operation : used to encrypt longer messages

➤ Hash functions

- Basic properties : first/second preimage resistance, collision resistance
- Can be used to construct primitives like HMACs



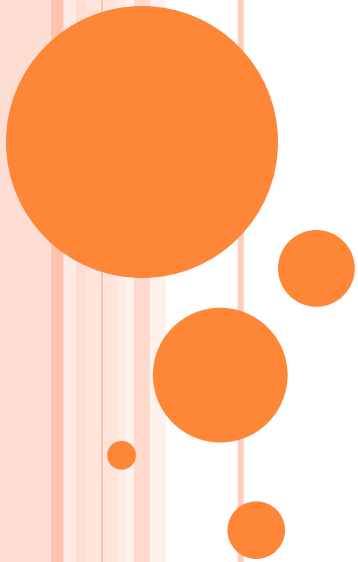
IN THIS COURSE

- Perfect hash functions:
 - The Random Oracle Model

- Public-key cryptography:
 - Number-theoretical assumptions in PKC
 - Public-key encryption
 - Signature schemes
 - Full-domain hashes



PART I BACKGROUND



DIVISORS, PRIMES, GCD

- Assume: positive integers $a, b \in \mathbb{N}$
- Division: “ a divides b ” iff. $\exists k \in \mathbb{N}$ s.t. $a = k \cdot b$
 - We write $a \mid b$ and say a is a divisor of b
- Examples: $2 \mid 24$, $11 \mid 121$, etc.
- Prime numbers: positive integers greater than 1 only divisible by 1 and themselves
 - 1 is not a prime number. Nor is 0.
- Modular arithmetic: remainder of division
 - $a \bmod b = r$ s.t. $\exists k \in \mathbb{Z}$ with $a = kb + r$ and $r \in \mathbb{N}$
 - E.g. $15 \bmod 2 = 1$; $235 \bmod 5 = 0$; $135 \bmod 11 = 3$



EQUIVALENCE CLASSES, GCD

- Equivalence mod n :
 - $a \cong_n b$ iff. $a \bmod n = b \bmod n$
- Equivalence classes a_n :
 - $a_n = \{b \in \mathbb{Z} \mid a \cong_n b\}$
 - For instance $3_{12} = \{\dots - 9, 3, 15, 27, \dots\}$
- Common divisor: d is common divisor of a, b iff.:
 - $d \mid a$ and $d \mid b$
- Greatest common divisor: largest such d
 - $\text{GCD}(15, 35) = 5$
 - $\text{GCD}(52, 236) = 4$



FINDING GCD

- If $a \geq b$, it holds that: $\text{GCD}(a, b) = \text{GCD}(b, a \bmod b)$
 - This is because if $d \mid a$ and $d \mid b$, then $d \mid (a \bmod b)$
 - Why? Write $a = bq + r$, $a = kd$, $b = sd$
Then $kd = qsd + r$, so $d(k - qs) = r$ and $d \mid r$
- For any $a \geq b$: if $a \bmod b = 0$ then $\text{GCD}(a, b) = b$
- Hence Euclid's algorithm, input $a \geq b$:
 - 1. if $a \bmod b = 0$, then output b
 - 2. else, repeat procedure on input $(b, a \bmod b)$
- Total complexity: $O(\log^2 a)$



EXTENDED GCD

➤ Theorem:

- If $d = \text{GCD}(a, b)$, then d is the smallest positive integer for which there exist integers r, s such that:

$$d = ar + bs$$

➤ If $d = 1$, a, b are called co-prime

➤ Extended GCD:

- Input a, b
- Output: d, r, s



GROUPS

- Set \mathbb{G} , operator \circ such that:
 - Closure: $\forall a, b \in \mathbb{G}$ it holds $a \circ b \in \mathbb{G}$
 - Associativity: $\forall a, b, c \in \mathbb{G}$ it holds $(a \circ b) \circ c = a \circ (b \circ c)$
 - Identity element: $\exists e \in \mathbb{G}, \forall a \in \mathbb{G}$ s.t.: $a \circ e = e \circ a = a$
 - Inverse element: $\forall a \exists a^{-1}$ s.t.: $a \circ (a^{-1}) = (a^{-1}) \circ a = e$
- (\mathbb{G}, \circ) is an Abelian group iff:
 - (\mathbb{G}, \circ) is a group
 - $\forall a, b \in \mathbb{G} : a \circ b = b \circ a$
- Example: $(\{0, \dots, n - 1\}, +(\text{mod } n))$
 - Another example: $(\mathbb{Z}, * \text{ mod } p)$



SUBGROUPS AND ORDERS

- Order $|\mathbb{G}|$ of group (\mathbb{G}, \circ) : # elements in \mathbb{G}
- Subgroup (\mathbb{H}, \circ) of (\mathbb{G}, \circ) :
 - (\mathbb{H}, \circ) is a group
 - $\mathbb{H} \subseteq \mathbb{G}$
- Theorem [Lagrange]:
 - If \mathbb{G} is finite and (\mathbb{H}, \circ) subgroup of (\mathbb{G}, \circ)
 - Then $|\mathbb{H}|$ divides $|\mathbb{G}|$



CYCLIC GROUPS

- Cyclic groups (\mathbb{G}, \circ) of order n is cyclic iff.:

$$\mathbb{G} = \{g, g \circ g, \dots, \underbrace{g \circ g \circ g \dots \circ g}_{n \text{ times}}\}$$

- We call g a generator of this group
- Any element can be a generator

- Theorem [Fermat's little theorem]:
 - If (\mathbb{G}, \circ) is a finite subgroup
 - Then $\forall a \in \mathbb{G}$ it holds that $a^{|\mathbb{G}|} = 1$



GROUPS AND SUBGROUPS WE USE

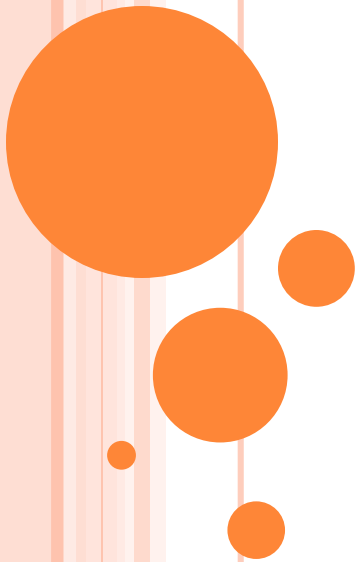
- For a prime p : $(\mathbb{Z}_p^*, *_{\text{mod } p})$
 - Integers modulo a prime, under multiplication mod p
 - Abelian (multiplication is commutative)
- Variation: sometimes in ECC we use $(E(\mathbb{Z}_{p^2}), +_E)$

- For primes p, q : $(\mathbb{G}, *_N)$ with $N = pq$
 - $\mathbb{G} = \{1 \leq g \leq N - 1 \text{ s.t. } \text{GCD}(g, N) = 1\}$
 - Cardinality: # of numbers co-prime with N
 - Usually denoted by Euler's Φ function:
 - $\Phi(pq) = (p - 1)(q - 1)$
 - E.g.: $p = 3; q = 7; \mathbb{G} = \{1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20\}$



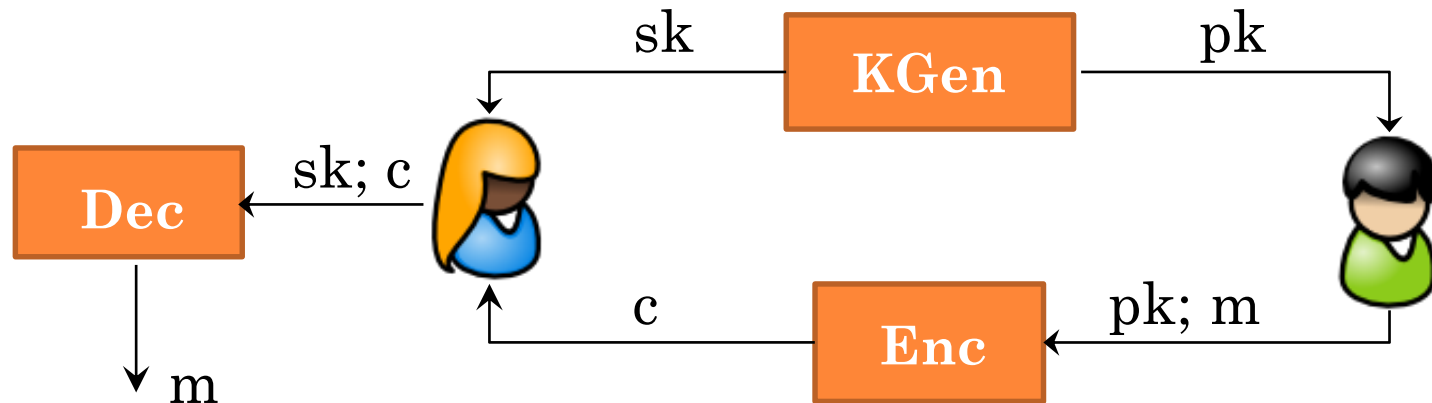
PART II

ENCRYPTION SCHEMES



PUBLIC-KEY ENCRYPTION

- Syntax: algorithms (KGen, Enc, Dec) such that:
 - $\text{KGen}(1^\lambda)$: given security parameters, outputs tuple (sk, pk) consisting of a private/public key
 - $\text{Enc}(pk; m)$: given plaintext and public key, outputs ciphertext c
 - $\text{Dec}(sk; c)$: given ciphertext and secret key, outputs plaintext \hat{m} or error symbol \perp



PUBLIC-KEY ENCRYPTION

➤ Correctness:

- For all tuples $(sk, pk) \leftarrow \text{KGen}(1^\lambda)$ and for all plaintexts $m \in \mathbb{M}$, it must hold that $\text{Dec}(sk; \text{Enc}(pk; m)) = m$
- Sometimes we degrade it to ϵ -correctness in which the decryption fails with probability ϵ

➤ IND-CPA: eavesdropper can't tell even 1 bit of p-text

$$(sk, pk) \leftarrow \text{KGen}(1^\lambda)$$

$$b \leftarrow_{\$} \{0,1\}$$

$$(m_0, m_1) \leftarrow \mathcal{A}(pk, 1^\lambda)$$

$$c \leftarrow \text{Enc}(pk; m_b)$$

$$d \leftarrow \mathcal{A}(c, pk, 1^\lambda)$$

\mathcal{A} wins iff. $d = b$



EL-GAMAL ENCRYPTION

- Before key-generation: setup
 - Pick primes p, q such that $p = 2q + 1$
 - Group $\mathbb{H} = (\mathbb{Z}_p^*, *_{\text{mod } p})$ and cyclic subgroup \mathbb{G} of \mathbb{H} of prime order q under the same operation
 - Generator g of \mathbb{G}
- Key generation:
 - Secret key $sk \leftarrow_{\$} \{1, \dots, q - 1\}$; public key $pk = g^{sk} \text{ mod } p$
- Encryption of message $m \in \mathbb{G}$:
 - Pick $r \leftarrow_{\$} \{1, \dots, q - 1\}$, set $c = (g^r \text{ mod } p, m \cdot pk^r \text{ mod } p)$
- Decryption of $c = (c_1, c_2)$:
 - Set $\hat{m} = c_2 /_{c_1}^{sk}$



GENERIC MESSAGES

- Message has to be in \mathbb{G}
- What happens otherwise?
 - Could use m^2 , for $m \in \mathbb{H} \setminus \mathbb{G}$ (if $m \in \mathbb{H} \setminus \mathbb{G}$, then the order of m is not q ; yet, the order of m^2 is q) **Proof in TD**
 - Encrypt m^2 instead of m , take $\sqrt{\hat{m}}$ at decryption
 - Could also modify scheme a little bit, using a hash function:
 - Encryption: $(g^r, H(pk^r) \oplus m)$
 - Decryption: $\hat{m} = c_2 \oplus H(c_1^{sk})$
 - We can prove security as long as the hash function H preserves the pseudorandomness of pk^r



EL-GAMAL SECURITY

➤ Theorem:

- If there exists an adversary \mathcal{A} who can break the IND-CPA security of the El Gamal scheme with probability $\frac{1}{2} + \text{Adv}_{\mathcal{A}}$...
- ... then there exists an adversary \mathcal{B} who can break the DDH assumption in group \mathbb{H} with probability $p_{\mathcal{B}}$ such that:

$$p_{\mathcal{B}} = \frac{1}{2} + \frac{1}{2} \text{Adv}_{\mathcal{A}}$$



REMINDER: HARD PROBLEMS BASED ON DLOG

- Setup:
 - Cyclic group \mathbb{G} of prime order q , generator g
- DLog:
 - Given q, g, g^a , find $a \in \{1, \dots, q - 1\}$ (g and q fully define \mathbb{G})
- CDH
 - Given q, g, g^a, g^b find g^{ab}
- DDH
 - Given q, g, g^a, g^b, g^c find out whether $c = ab$ or not
- Note:
 - If DLog is solved, then we can solve CDH
 - If we can solve CDH, then we can solve DDH



PROOF

- What does breaking DDH mean?
- B plays a game against a challenger
 - Depending on a bit b , B receives (g, g^a, g^b, g^{ab}) (if $b = 1$) or (g, g^a, g^b, g^c) , for $a, b, c \leftarrow_{\$} \{1, \dots, q\}$
 - B must output a bit guess_B and wins iff. $\text{guess}_B = b$



PROOF

C_B

$d \xleftarrow{\$} \{0,1\}$

$a, b, c \xleftarrow{\$} Z_q$

$z := ab$ if $d = 1$

$z := c$ if $d = 0$

$B = C_A$

A

g, g^a, g^b, g^z

$r \xleftarrow{\$} \{0,1\}$

$pk := (g, g^a)$

m_0, m_1

$C := (g^b, g^z \cdot m_r)$

C

Guess bit r^*

If $r == r^*$ set $d^* := 1$

Else, set $d^* = 0$

Output d^*



ANALYSIS

➤ Analysis:

- If $d = 1$, B got (g, g^a, g^b, g^{ab}) , which means A plays the true game: so A wins w.p. $\frac{1}{2} + \text{Adv}_A$
- If $d = 0$, B got (g, g^a, g^b, g^c) , so A wins w.p. $\frac{1}{2}$

➤ Question: how do we simulate encryption queries?

➤ Total success:

$$\begin{aligned}\Pr[B \text{ wins}] &= \Pr[B \text{ wins} \mid d = 1] \Pr[d = 1] + \Pr[B \text{ wins} \mid d = 0] \Pr[d = 0] \\ &= \frac{1}{2} \Pr[B \text{ wins} \mid d = 1] + \frac{1}{2} \Pr[B \text{ wins} \mid d = 0] \\ &= \frac{1}{2} \Pr[A \text{ guesses} \mid d = 1] + \frac{1}{2} \Pr[A \text{ guesses} \mid d = 0] \\ &= \frac{1}{2} \left(\frac{1}{2} + \text{Adv}_A \right) + \frac{1}{2} \cdot \frac{1}{2} = \frac{1}{2} + \frac{1}{2} \cdot \text{Adv}_A\end{aligned}$$



MALLEABILITY

- Malleability, to maul:
 - Informally: ability to “re-shape” things
 - Not always bad – crucial in homomorphic crypto
 - Bad for IND-CCA

- ElGamal is malleable:
 - Say we encrypt message m with randomness r
$$(c_1, c_2) = (g^r, m \cdot pk^r)$$
 - Now pick random $s \leftarrow_{\$} \{1, \dots, q - 1\}$
 - Maul ciphertext: $c_1^* = c_1^s = g^{rs}$, $c_2^* = c_2^s = m^s pk^{rs}$
 - Then (c_1^*, c_2^*) is an encryption of m^s



IND-CPA vs IND-CCA

- IND-CPA: eavesdropper can't tell even 1 bit of p-text

$$(sk, pk) \leftarrow \text{KGen}(1^\lambda)$$

$$b \leftarrow_{\$} \{0,1\}$$

$$(m_0, m_1) \leftarrow \mathcal{A}(pk, 1^\lambda)$$

$$c \leftarrow \text{Enc}(pk; m_b)$$

$$d \leftarrow \mathcal{A}(c, pk, 1^\lambda)$$

\mathcal{A} wins iff. $d = b$

- IND-CCA: even if we have power of decryption, can't learn even 1 bit of fresh message
 - Same as before, but include Dec. oracle
 - A must not query challenge ciphertext to Dec.



MALLEABILITY AND IND-CCA

- Malleability: one can use a relation on the input to induce a relation on the output.
- Malleability usually implies non IND-CCA
- Why?
 - Key to IND-CCA success: A cannot query the challenge ciphertext
 - Maul challenge ciphertext, then query it to Dec
 - Perform inverse transformation



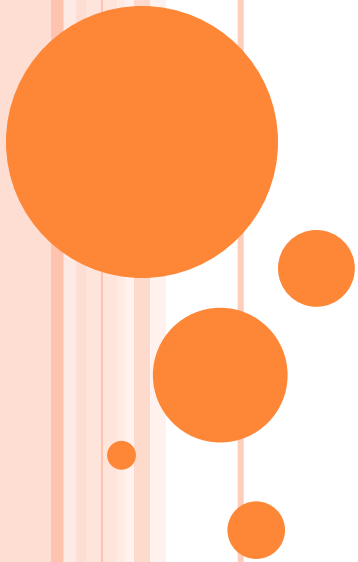
IND-CCA ENCRYPTION

- Much harder to get than IND-CPA encryption
- Must prevent malleability, so usually we would use something to verify the integrity of the message
- Would using a hash function help?
 - $\text{Enc}(pk, H(m))$: doesn't work. **Why not?**
 - How about $H(\text{Enc}(pk; m))$?
- Could we use a PRF instead?
 - $\text{Enc}(pk, \text{PRF}(K, m))$: security is ok, but why would we do PKE if we already had a shared key?



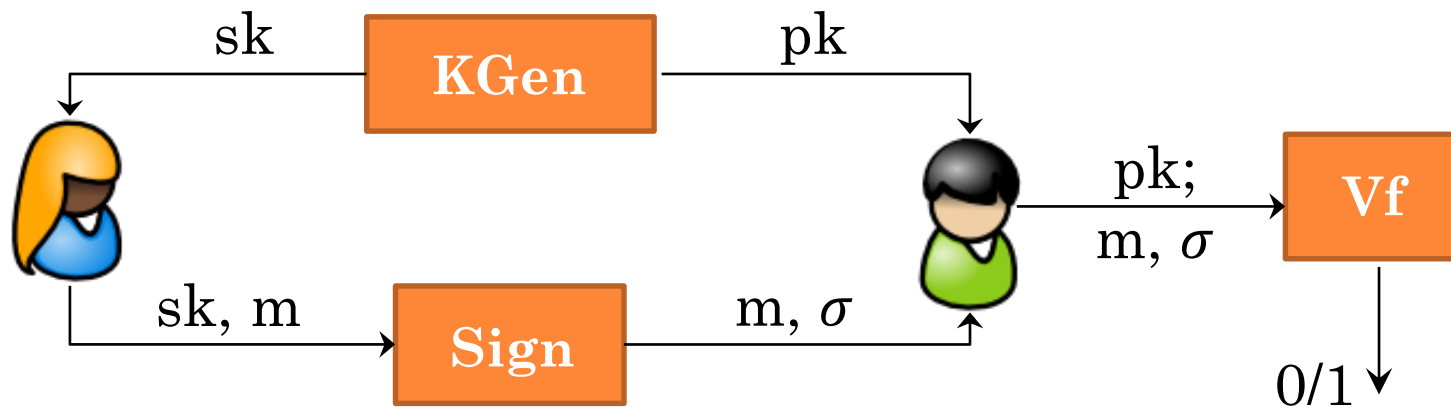
PART III

SIGNATURE SCHEMES



DIGITAL SIGNATURES

- Syntax: algorithms (KGen, Sign, Vf) such that:
 - $\text{KGen}(1^\lambda)$: given security parameters, outputs tuple (sk, pk) consisting of a private/public key
 - $\text{Sign}(sk; m)$: given plaintext and secret key, outputs signature σ
 - $\text{Vf}(pk; m, \sigma)$: given message, signature and public key, outputs a bit 1 if σ checks for m , 0 otherwise



SIGNATURE SECURITY

➤ Correctness:

- For all tuples $(sk, pk) \leftarrow \text{KGen}(1^\lambda)$ and for all messages $m \in \mathbb{M}$, it must hold that $\text{Vf}(pk; m, \text{Sign}(sk; m)) = 1$
- Sometimes we degrade it to ϵ -correctness in which the verification of a signed message fails with probability ϵ

➤ EUF-CMA: adversary can't forge fresh signature

$$(sk, pk) \leftarrow \text{KGen}(1^\lambda)$$

$$(m, \sigma) \leftarrow \mathcal{A}^{\text{Sign}(\cdot)}(pk, 1^\lambda)$$

Store list $\mathcal{Q} = \{(m_1, \sigma_1), \dots, (m_k, \sigma_k)\}$ of queries to Sign

\mathcal{A} wins iff. $(m, \sigma) \notin \mathcal{Q}$ and $\text{Vf}(pk; m, \sigma) = 1$



RSA SIGNATURES

➤ RSA setup:

- Large primes p, q , let $N = pq$
- Subgroup of co-primes with N , size $\Phi(N) = (p - 1)(q - 1)$
- Work in subgroup mod $\Phi(N)$

➤ RSA signatures:

- KGen: Find $e \in_R \{1, \dots, \Phi(N)\}$ such that $\text{GCD}(e, \Phi(N)) = 1$ and its inverse d such that $e \cdot d = 1 \pmod{\Phi(N)}$
 - Public key $PK = (N, e)$; Secret key $SK = d$
- Sign message m :
 - $\sigma = m^d \pmod{N}$
- Verify signature σ for message m
 - Output 1 iff. $m = \sigma^e \pmod{N}$ and output 0 otherwise



NOT EUF-CMA

RSA Signature

- Key Generation:

$$pk = N, e$$

$$sk = d$$

- Sign:

$$\sigma = m^d \bmod N$$

- Verify:

$$m \stackrel{?}{=} \sigma^e \bmod N$$

- No Sign(\cdot) queries:
 - Pick random string s
 - Compute $\hat{m} = s^e \bmod N$
 - Output (\hat{m}, s) as forgery
- Forgery with 2 queries:
 - Want to forge signature for given message m
 - Pick m_1 at random, ask signature: $\sigma_1 = m_1^d \bmod N$
 - Compute m_2 s.t. $m_1 m_2 = m \bmod N$, get $\sigma_2 = m_2^d \bmod N$
 - Output $(m, \sigma_1 \sigma_2 \bmod N)$

HOW TO GET EUF-CMA

- Use Hash functions, and sign hash of message
- The Probabilistic Full-Domain-Hash RSA scheme:
 - Use a hash function $H: \{0,1\}^* \rightarrow \mathbb{Z}_N^*$
 - KGen: Obtain $(N, e, d) \leftarrow \text{KGen}_{RSA}(1^\lambda)$, set:
$$PK = (N, e); SK = d$$
 - Sign: Choose random $r \in_{\$} \{0,1\}^*$, compute $y = H(r || m)$, output signature:
$$\sigma = (r, y^d \bmod N)$$
 - Verification: receive $m, \sigma = (r, s)$, output 1 iff.
$$s^e = H(r || m)$$



SECURITY OF PFDH-RSA

- Assumptions on hash functions:
 - Collision-resistance sometimes suffices
 - However, proofs for signatures are hard to do relying just on collision resistance
 - Need a stronger assumption
- Random oracles, the ROM:
 - Imagine an idealization of a hash function
 - Every time we query the idealization on a value x , check RO has not been queried with x before:
 - If so, output new uniformly random value of good length
 - Else output previously seen value for x



RSA ASSUMPTION

- The RSA problem:
 - Given an RSA instance, with public key (N, e)
 - Given “ciphertext”: $C = m^e \bmod N$
 - Compute m

- The RSA assumption:
 - The RSA problem is hard to solve for a PPT adversary

- The strong RSA assumption:
 - Allow Adversary to choose exponent e
 - Given (N, C) , hard to output (m, e) s.t. $C = m^e \bmod N$



SECURITY OF PFDH

➤ Theorem:

- Take $|r| = \text{Log } q_S$
- In the random oracle model
- If there exists an adversary A against the EUF-CMA of the PFDH scheme, making at most q_H queries to H and at most q_S queries to Sign, winning with probability $p_A \dots$
- Then there exists an adversary B that solves the RSA problem with probability

$$p_B \geq \frac{1}{4} p_A$$



PROGRAMMING A RO

➤ Key observations:

- A does not have much use submitting messages to Sign oracle without submitting them to Hashing RO first
 - Not entirely true, we would lose a guessing term here
- A cannot output a meaningful forgery for a message m without submitting it to Hashing RO first
 - Again, not entirely true, same considerations as before
- A has no use querying the same message twice to the random oracle (since the RO always returns the same thing)



SECURITY PROOF FOR PFDH-RSA

➤ Proof intuition:

- The random oracle randomizes the messages to be signed; in fact, by choosing different values of r we get different values of $H(r || m)$

- Multiple related signatures per message:

- $m \xrightarrow{r_1} (r_1, [H(r_1 || m)]^d \text{ mod } N)$

- $m \xrightarrow{r_2} (r_2, [H(r_2 || m)]^d \text{ mod } N)$

-

- $m \xrightarrow{r_k} (r_k, [H(r_k || m)]^d \text{ mod } N)$

- Because of the RO, all hashes are different



CONSTRUCTING THE REDUCTION

- Adversary B plays against the RSA problem
- It needs to simulate the EUF-CMA game to adversary A, and use its output
- Setup:
 - Adversary B receives tuple (N, e) and $C = m^e \bmod N$ for some m
 - B must then answer queries from A for signatures
 - B prepares for each m a list of q_S values like this:
 - Choose random r_i
 - Choose random $x_i < N$
 - Given e calculate: $z_i = x_i^e$
 - Store tuple (m, r_i, x_i, z_i) ; all tuples with same m make up L_m



THE REDUCTION

- Every time A queries the RO $H(m || r)$, B responds as follows:
 - Create initially empty table \mathbb{T} with entries $(\cdot; \cdot; \cdot)$
 - If m is queried for the first time, B first makes up L_m
 - Else, assume L_m is already created
 - If there exists in \mathbb{T} an entry $(m || r, x, z)$, return z
 - If $r \in \{r_1, \dots, r_k\}$ from list L_m , then output z_i and insert in \mathbb{T} an entry $(m || r_i, x_i, z_i)$
 - Else, if r not used in L_m , choose random x and output to A the value $z = C x^e \bmod N$ and store $(m || r, x, z)$ in \mathbb{T}
- Remember A has q_S signature queries



FINISHING THE REDUCTION

- Apart from RO queries, A can ask signature queries to the signing oracle
 - B has to respond to these queries
- When A queries $\text{Sign}(m)$:
 - If m does not have a corresponding L_m , generate it
 - Else, pick the next value of r in that list, see if there is a related entry $(m \parallel r, x, z)$ in \mathbb{T} , output (r, x)
 - If there is no such related entry, create one, and output the same thing



WINNING OR LOSING

➤ Finally A outputs a forgery of the type:

$$(m, (r, s))$$

- If $r \in L_m$, abort
- Else, if $r \notin L_m$, find corresponding entry in \mathbb{T} and output (to B's challenger):

$$\frac{s}{x} \pmod{N}$$

➤ Note: A outputs forgery on message not queried to signature oracle before

- But he could have input $(m \parallel r)$ to RO instead, got x
- Only way to get r from L_m is by guessing it:

Total probability it doesn't happen: $(1 - 2^{-|r|})^{q_s}$



RANDOM ORACLES

- Idealising hash function in a very useful way
 - Can get nice properties for key-exchange, encryption, signatures, and many other primitives
- However, random oracles are a bit too ideal
 - We know that some primitives that are “secure” in the presence of random oracles are insecure no matter which hash function we use for our RO
- Proofs in ROM:
 - Tricky bit is to program the RO: store queries, know what to answer
- Alternative to ROM: standard model



FULL-DOMAIN HASHING

- Generalized beyond RSA by trapdoor permutations
- Trapdoor permutations:
 - Family of 1-way permutations $\{f_K: D_K \rightarrow R_K\}$ with $K \in \mathbb{K}$, such that D_K, R_K, \mathbb{K} are binary sets of arbitrary length. Includes algorithms $(\text{Gen}, \text{Sample}, f, f^{-1})$ such that:
 - Gen: on input 1^λ outputs tuple $K \in \mathbb{K}$ and trapdoor T
 - Sample: on input the key K , this algorithm efficiently samples input $x \in D_K$
 - f : on input K and any $x \in D_K$, efficiently outputs $y = f_K(x)$
 - f^{-1} : on input K , trapdoor T and any $y \in R_K$, efficiently outputs inverse x such that $y = f_K(x)$
 - Security: without trapdoor T , hard to invert f



PKE AS TRAPDOOR PERMUTATION

Trapdoor permutation

- Algorithm Gen

K

T

- Function f : efficient to get

$$y = f_K(x)$$

- Inverse f^{-1} easy with T

$$x = f_K^{-1}(T, y)$$

PKE

- Algorithm KGen

PK

SK

- Encryption algorithm

$$y = \text{Enc}_{PK}(x)$$

- Decryption algorithm

$$x = \text{Dec}_{SK}(y)$$



GENERALIZED FDH

- Take Trapdoor permutation $TDP = \{\text{Gen}, \text{Sample}, f, f^{-1}\}$
- Take hash function $H: \{0,1\}^* \rightarrow \{0,1\}^n$

- Key Generation: Run $(K, T) \leftarrow \text{Gen}(1^\lambda)$. Set:

$$PK := K \quad \text{and} \quad SK = T$$

- Signing: Compute $r := H(m)$, then do: $y := \text{Sample}(PK; r)$

$$\text{Signature is: } \sigma = f_T^{-1}(y)$$

- Verification: Do $r := H(m)$, then: $y := \text{Sample}(PK; r)$.

$$\text{Output 1 iff. } f(\sigma) = y$$

