

# REVIEW OF LAST TIME

- Security in symmetric encryption:
  - The IND-CPA security game
  - A bit of PRF
  - How to prove OTP + PRG secure
- Proof techniques
  - Game hopping
  - Game equivalence by indistinguishability of games





# PRPs AND PRFs

**Block ciphers, cryptanalysis, symmetric encryption**

# PRG IN OTP

## ➤ Recall the OTP

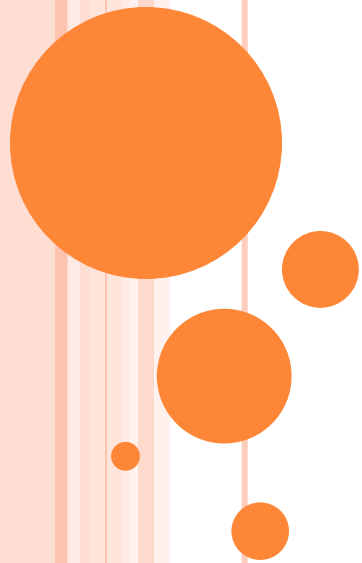
- Traditional OTP for  $\mathcal{K} = \mathcal{M} = \{0,1\}^m$ 
  - Choose random  $k \stackrel{\$}{\leftarrow} \mathcal{K}$
  - Encrypt message  $m$  to :  $c := k \oplus m$
  - Decrypt ciphertext  $c$  as:  $\hat{m} := c \oplus k$

## ➤ Now replace random key generation by PRG:

- OTP for  $\mathcal{M} = \{0,1\}^m$  with  $\mathcal{K} = \{0,1\}^n$  and  $n < m$
- Use a bounded-secure PRG  $G: \{0,1\}^n \rightarrow \{0,1\}^m$ 
  - KeyGen: choose (once)  $k \stackrel{\$}{\leftarrow} \mathcal{K}$
  - Encrypt message  $m$  as  $c := G(k) \oplus m$
  - Decrypt message as:  $\hat{m} := c \oplus G(k)$



# STREAM AND BLOCK CIPHERS



# STREAM CIPHERS

- Based on pseudorandom generators
  - Usually in the PRG + OTP structure, encrypting traffic as it is sent
  - Note: symmetric in nature, and require synchronization for the masking string (output of PRG)
- Some examples: SEAL, A5, RC4
  - If PRG is efficient (it usually is), the construction is very fast
  - RC4 is probably the most often used stream cipher today, but some of its output bytes are biased, leading to breaking WEP and TLS + RC4



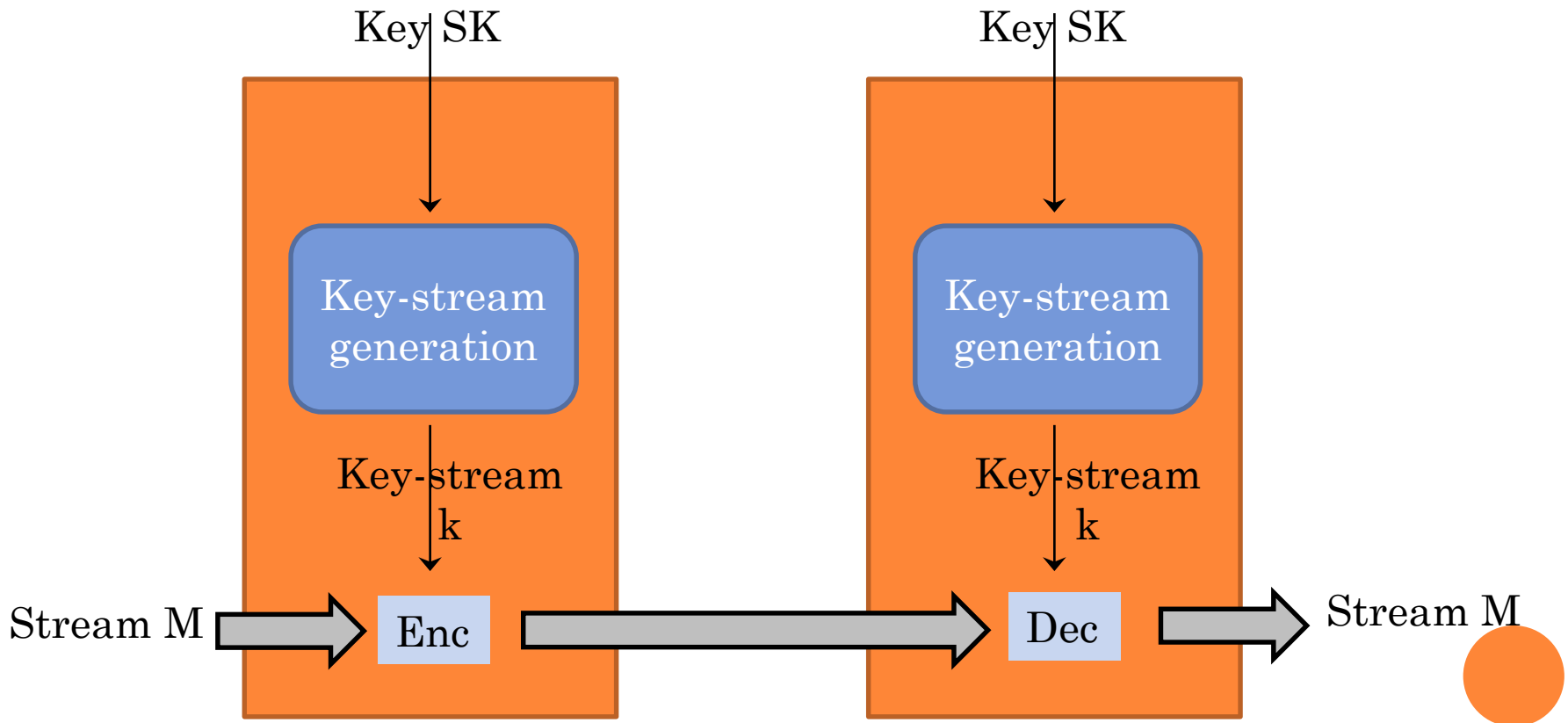
# RC4

- Designed by Ron Rivest in 1987
- Used in protocols like TLS/SSL, WEP, etc.
- Starts with a key of 256 bytes:  $k_0, \dots, k_{255}$  (if not long enough, we pad it with itself)
- Also need permutation on (byte) positions  $0, \dots, 255$ , denoted  $S$ , which is shuffled at each round



# GENERIC STRUCTURE

- Stream ciphers must generate “pad” as we go



# GENERIC STRUCTURE

- Stream ciphers must generate “pad” as we go
- Start with key  $K$  and a permutation  $S$
- Do Key-Scheduling (KSA): use the key to initiate permutation
- Do PR generating algorithm (PRGA) to generate the key-stream
- Main problem: key-streams will eventually repeat themselves, and that’s where cryptanalysis strikes





# RC4 DESCRIPTION

## ➤ Initialization:

- $S_0 = 0; S_1 = 1; \dots S_{255} = 255$
- Key  $K_0; \dots K_{255}$
- Current index  $j = 0$

## ➤ KSA (instantiate S)

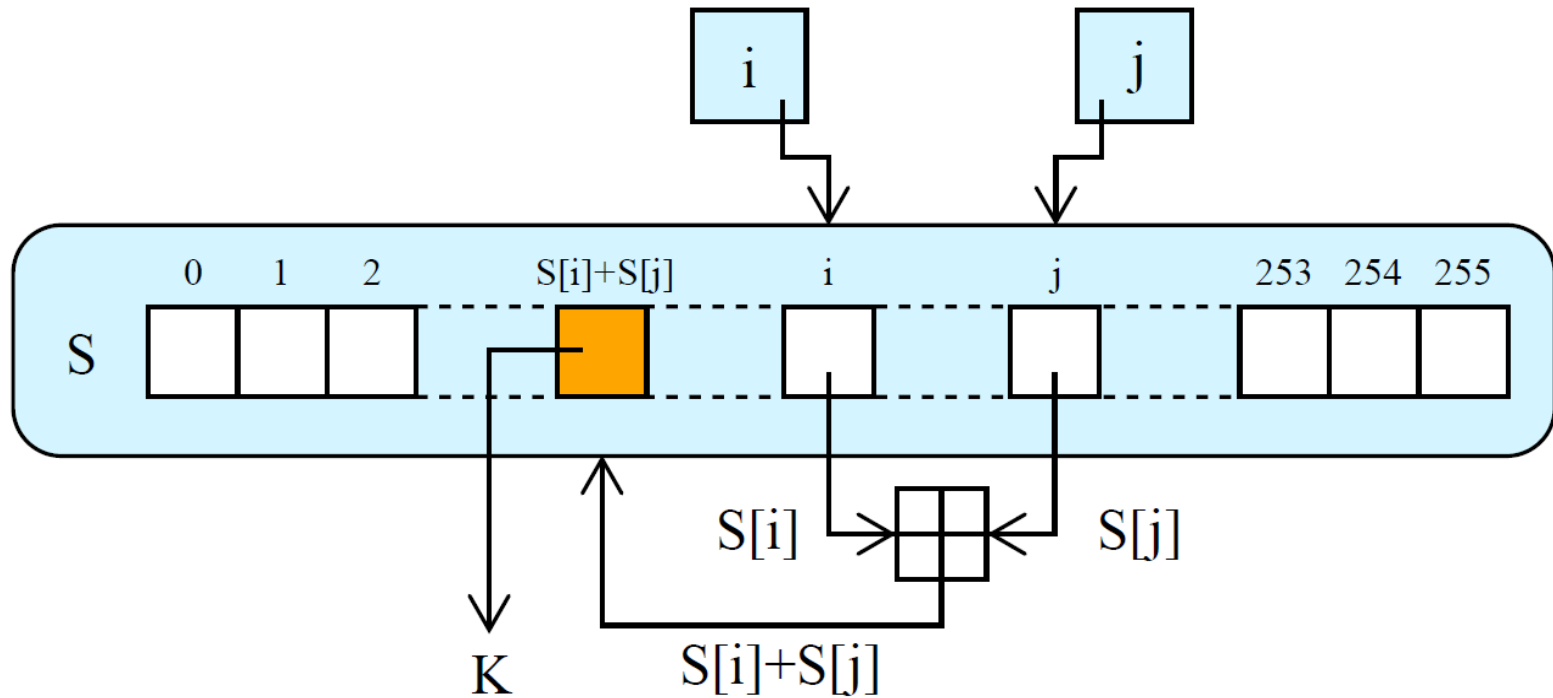
- For  $i = 1$  to 255:
  - $j := (j + S_i + K_i) \bmod 256$
  - Swap  $S_i$  and  $S_j$

## ➤ PRGA (use S to get key-byte)

- Update:  $i = i + 1 \bmod 256$  and  $j = j + S_i \bmod 256$
- Swap  $S_i$  and  $S_j$
- Output  $S_r$  with  $r = S_i + S_j \bmod 256$



# OUTPUTTING THE KEY STREAM



Source: Wikipedia.org



# RC4 PROBLEMS

## ➤ Ideally:

- We want that the output bytes be uniformly random
- Or at least, that they are indistinguishable from uniformly random, by a poly-time distinguisher

## ➤ Bias in some of the bits:

- Probability that first two bytes are 0 is  $2^{-16} + 2^{-32}$
- More attacks were recently published by Paterson et al.
- At the moment RC4 is discouraged by TLS/SSL (but because it's efficient, it's still being used a lot)



# BLOCK CIPHERS

- Stream ciphers pad plaintext with PRG output
  - Principle usually follows OTP
- Block ciphers act like a symmetric encryption on plaintext blocks
  - Idea: plaintext is a string of  $n$  bits, e.g. 64, or 128
  - A good permutation of the bits makes the output look unrelated to the input
- Given key  $K$  and message  $M$  of size  $n$ :
  - Encryption  $\text{Enc}_K$  maps  $M$  to a ciphertext  $C$
  - Decryption  $\text{Dec}_K$  maps ciphertext to plaintext



# PERMUTATIONS AND PRPs

## ➤ Ideally:

- Use a truly random permutation on the input domain
- However, that means we need a key as large as the message

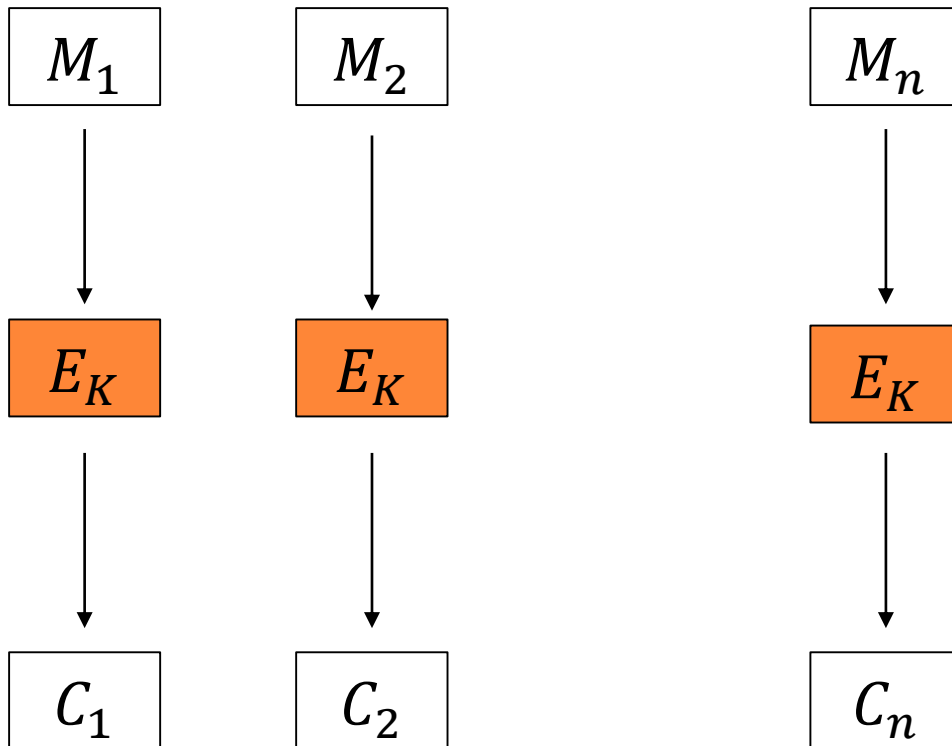
## ➤ In practice:

- Use a pseudorandom permutation (PRP)
- Then rely on indistinguishability of PRPs from RPs
- The block cipher takes inputs of size  $n$  and returns output of same size
  - If we need to encrypt bigger texts, use one of several modes



# ECB MODE

- Very simple: encrypt each block separately:



# ECB PROPERTIES

## ➤ Advantages

- Highly efficient and not harder to implement securely than the single-block encryption method
- Parallelizable

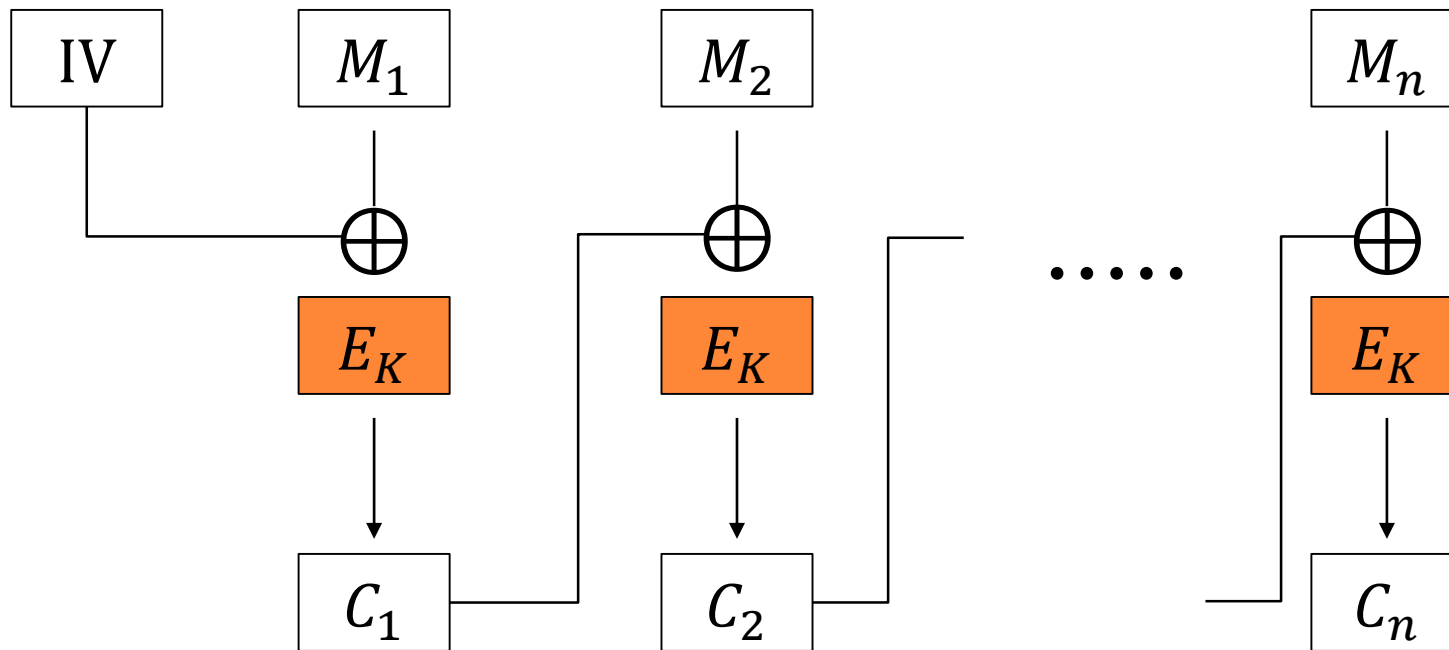
## ➤ Security:

- What happens if we have repetition in the input message? ( $M_1, M_2 = M_1, M_3 \dots$ )
- How about substitution/addition of message blocks?
- Known for being insecure against active attackers



# CBC MODE

- Link blocks together by using output blocks in the encryption of the following blocks
- An IV is used as a “seed”, but can be sent in clear

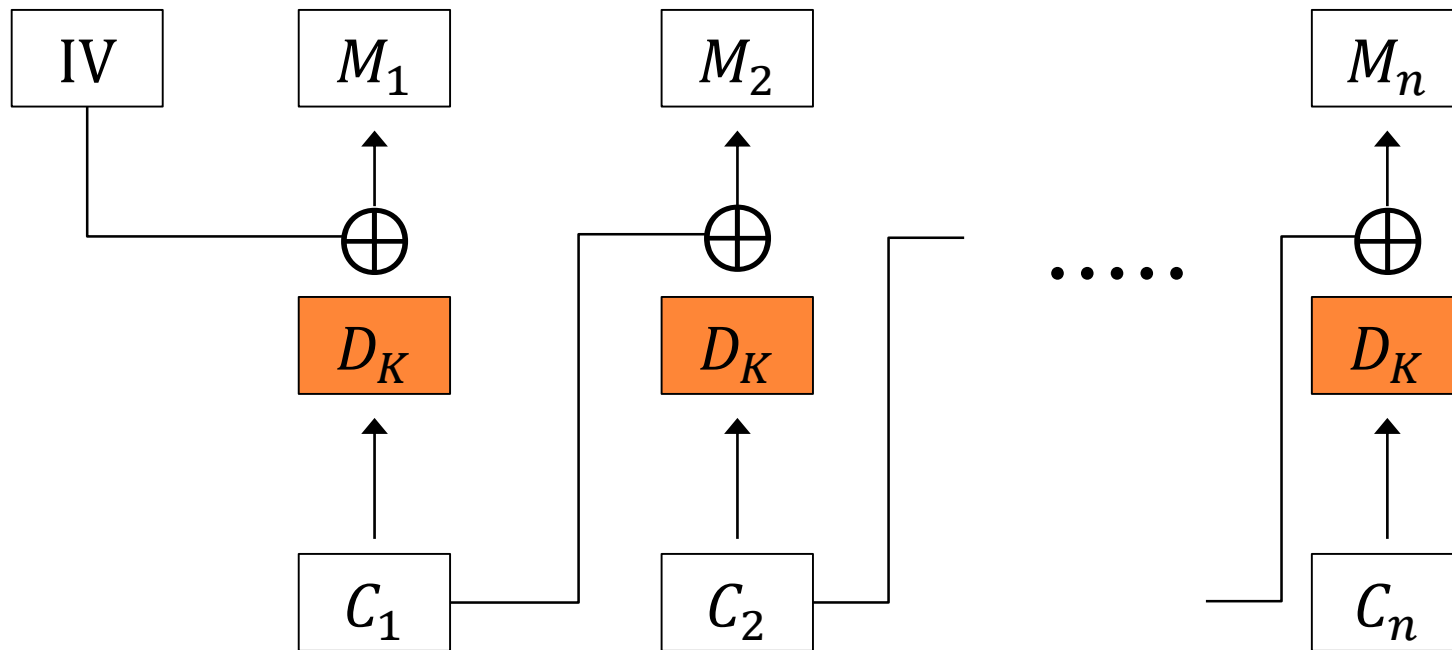




# CBC PROPERTIES

## ➤ Error handling:

- Say one ciphertext block is corrupted
- This only affects the decryption of the next block



# CBC SECURITY

- Not easy to insert messages
- Plaintext patterns (repetitions, etc.) not detectable
- The IV:
  - If IV is chosen uniformly at random and the encryption algorithm is a “good” permutation, then CBC encryption is a “good” encryption scheme
  - If IV is constant, CBC encryption does not hide prefixes
- You will often hear “do not use CBC modes in TLS/SSL”. This is sound advice, but not because of weaknesses in the design of encryption



# RECALL: GOOD SYMMETRIC ENCRYPTION

➤  $k \xleftarrow{\$} \text{KGen}(1^\gamma)$

$b \xleftarrow{\$} \{0,1\}$

$(m_0, m_1) \leftarrow A^{\text{Enc}(\cdot)}(\gamma)$  with  $|m_0| = |m_1|$

$c \leftarrow \text{Enc}(k, m_b)$

$d \leftarrow A^{\text{Enc}(\cdot)}(\gamma, c)$

---

A wins iff  $d = b$

➤  **$(q, \epsilon)$ -secure Symmetric Encryption:**

A symmetric-key encryption scheme  $\text{SEnc}$  is  $(q, \epsilon)$ -secure if, and only if, an adversary making at most  $q$  queries to  $\text{Enc}$  wins w.p. at most  $\frac{1}{2} + \epsilon$



# IND-CPA AND DETERMINISTIC ENCRYPTION

➤ A generic IND-CPA attack:

- $\mathcal{E}$  chooses  $K$  by running Key Generation
- $\mathcal{A}$  picks  $M_0, M_1$  and sends them to the  $\text{Enc}_K$  oracle:

$$C_i := \text{Enc}_K(M_i) \quad \text{for } i = 0,1$$

- $\mathcal{A}$  sends  $M_0, M_1$  to  $\mathcal{E}$ , who encrypts  $M_b$  for  $b \stackrel{\$}{\leftarrow} \{0,1\}$ :

$$\text{If } b = 0, \text{ then } C := \text{Enc}_K(M_0)$$

$$\text{Else, } C := \text{Enc}_K(M_1) \quad .$$

- When  $\mathcal{A}$  receives  $C$ , it compares it with  $C_0, C_1$ , then returns  $d = i$  if  $C = C_i; i \in \{0,1\}$ ; else  $\mathcal{A}$  sets  $d \stackrel{\$}{\leftarrow} \{0,1\}$

➤ This always works if the encryption is deterministic.

Why?



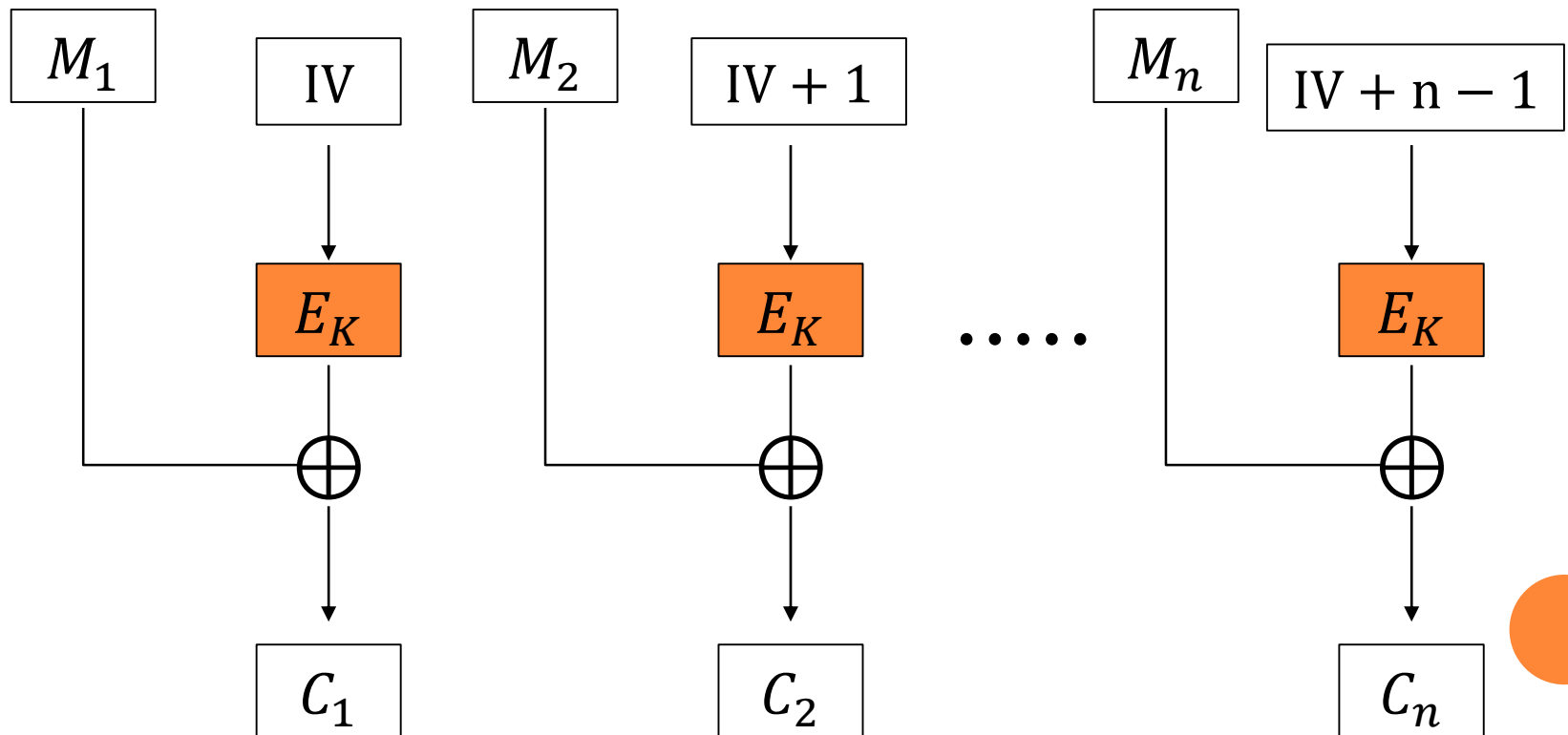
# CBC WITH PREDICTABLE IV

- Bug in TLS 1.0:  $IV$  for message  $M'$  is last ciphertext block of previous message  $M$
- Attack:
  - First ask encryption of 0, receiving  $(IV, \text{Enc}_K(IV))$
  - Remember last ciphertext block, call it  $IV'$ 
    - This is the IV for the next ciphertext
  - Submit  $M_0 = IV \oplus IV'$  and a random  $M_1$  to challenger
    - Now, if  $b = 0$ , then  $\text{Enc}_K(IV' \oplus (IV \oplus IV')) = \text{Enc}_K(IV)$



# CTR MODE ENCRYPTION

- Different IVs rather than a single one
- Parallelizable; IVs link ciphertext blocks together



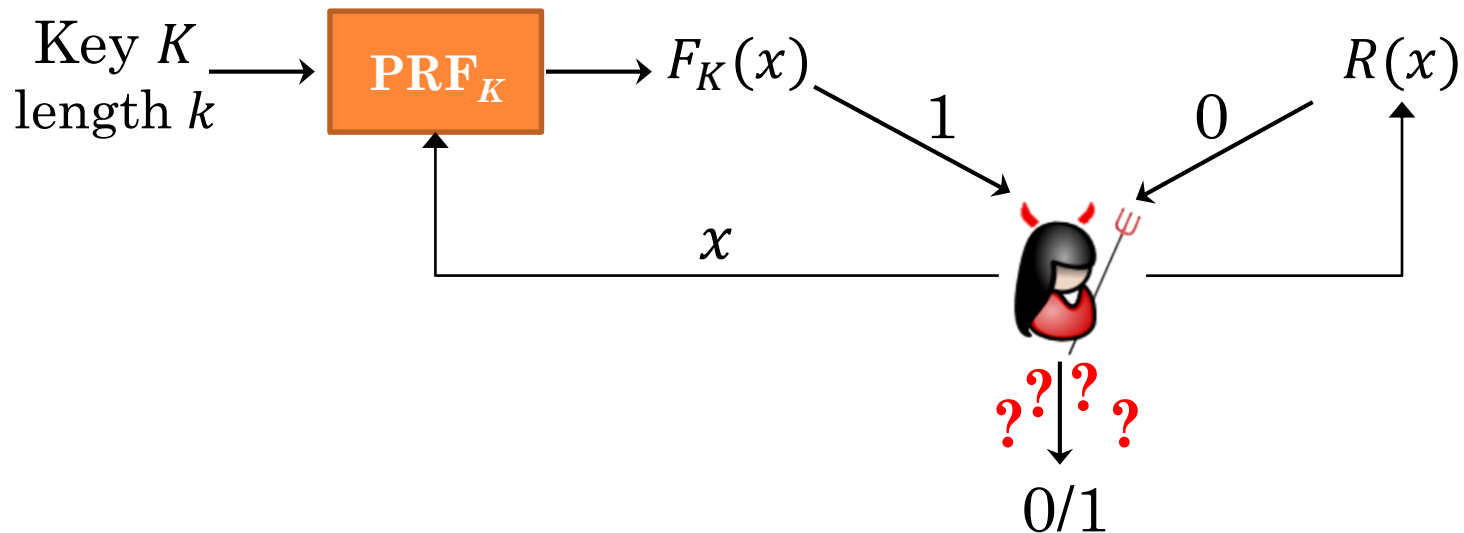
# CTR MODE PROPERTIES

- Efficiency and implementation:
  - Fully parallelizable once IV known
  - Some pre-processing can be done (such as encryption of all vectors from IV to IV+n-1)
- Security:
  - Note that this time, the length of IV need not be exactly equal to n
  - Hence, the symmetric encryption scheme is a function, rather than a permutation
  - In CTR mode, if encryption scheme is a PRF, then in CTR mode it has IND-CPA security



# WHAT IS A PRF?

- Family of functions  $F: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^m$
- First parameter is the key, chosen only once, so we regard the function as  $F_k: \{0,1\}^n \rightarrow \{0,1\}^m$
- Notion of PRF (indistinguishability from random):





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|                                     |   |
|-------------------------------------|---|
| $k \xleftarrow{\$} \{0,1\}^k$       | $G_b(x)$  |
| $d \leftarrow \mathcal{A}^{G_b(*)}$ |   |
| <hr/>                               |   |
| $\mathcal{A}$ wins iff. $d = b$     | If $b = 0$ , return $R(x)$<br>Else, return $F_K(x)$ |

- $(k, \varepsilon)$ -PR-ness:  $k$  queries to  $G_b$ ,  $\mathcal{A}$  wins w.p. at most  $\frac{1}{2} + \varepsilon$



# PRFs AND PRPs

- For a keyed function  $F_K: \{0,1\}^n \rightarrow \{0,1\}^n$ , we may also speak of permutations
  - Permutation: domain and range are the same
  - Bijection:  $F_K$  is keyed permutation if for all  $K$ ,  $F_K$  is 1-to-1 (bijective; thus invertible)
- Pseudo-random permutation:
  - Keyed Permutation
  - Indistinguishability from a random permutation: akin to PRF game, but with equal domain/range, and the bijective property



# IND-CPA SECURITY FROM PRF

## ➤ Assumption:

- Use PR function  $F: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$
- Choose secret key  $K$  of length  $k$  as output of Kgen
- Both encryptor and decryptor know  $F$  and key  $K$

## ➤ Encryption of some message $M \in \{0,1\}^n$ :

- Pick random number  $r \stackrel{\$}{\leftarrow} \{0,1\}^n$
- Encrypt  $M$  to  $(r; M \oplus F_K(r))$

## ➤ Decryption of ciphertext $C = (C_1; C_2)$ :

- Decrypt  $C$  to  $\hat{M} := C_2 \oplus F_K(C_1)$



# SECURITY OF THIS CONSTRUCTION

## ➤ IND-CPA security:

- For any adversary  $\mathcal{A}$  against the IND-CPA security of the encryption scheme, making  $k$  queries to the encryption oracle and winning w.p.  $\frac{1}{2} + \epsilon_A \dots$
- ... There exists an adversary  $B$  against the pseudo-randomness of the function  $F$ , which makes  $k$  queries to its generation oracle, and wins with probability:

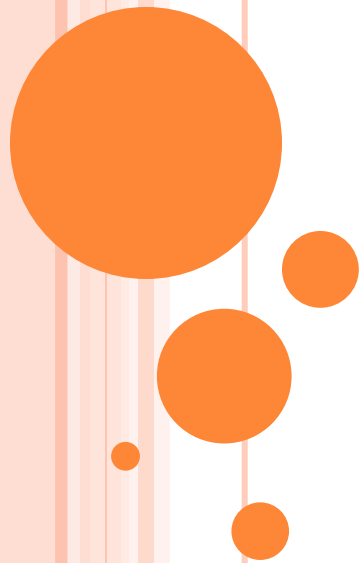
$$P_b \geq \frac{1}{2} + \epsilon_A + \frac{k}{2^n}$$

Why the additional term?

## ➤ Proof: in TDs



# MESSAGE AUTHENTICATION CODES (MACs)



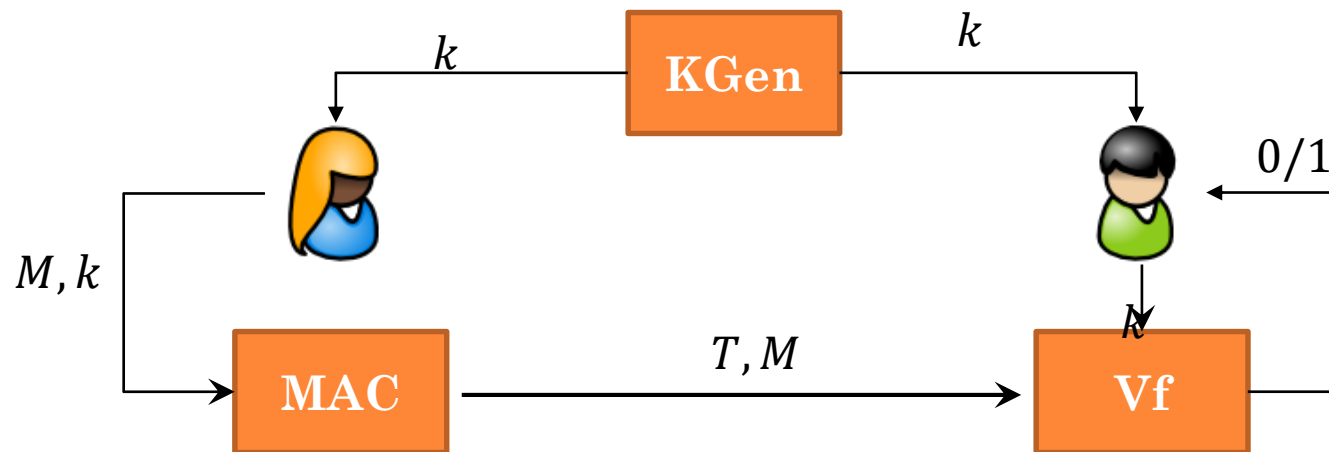
# UNFORGEABILITY AND MACS

- Message Authentication Codes prove message integrity and indicate its provenance (sender)
- MACs do not hide the message they authenticate
  - Quite the opposite: often you would send  $M$  along
- MACs do not entirely hide the key either
  - They can reveal a part of the key, as long as it is still hard to recover the other part (say a half)
- Their purpose is to authenticate, not to hide



# MAC SCHEME SYNTAX

- Tuple of algorithms (KGen, MAC, Vf) s.t.:
  - KGen( $1^r$ ) outputs symmetric key  $k$
  - MAC( $k, M$ ) outputs tag  $T$  for message  $M$
  - Vf( $k, M, T$ ) outputs 1 if  $T$  verifies for  $M$  and 0 otherwise



- **Correctness** (of MAC and Vf)
  - For any  $K, M$ , if  $T = \text{MAC}(K; M)$ , it holds  $\text{Vf}(K; M, T) = 1$



# MAC SECURITY INTUITION

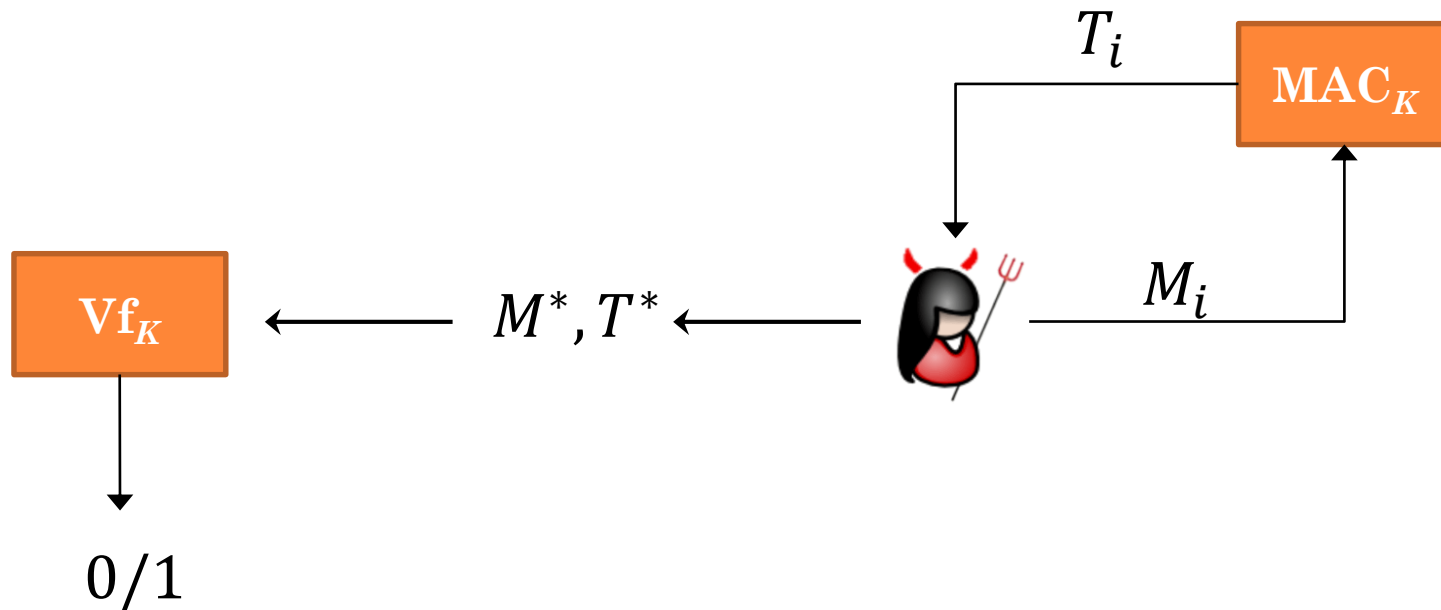
- How do we use a MAC?
  - Assume Alice sends message and MAC to Bob
    - Say message is unencrypted, an update or a file
  - An adversary may intercept, change, or replace it
  - Bob receives the message and the MAC
  - Bob verifies the MAC. Ideally:
    - If the MAC verifies: it's Alice's untampered message
    - If the MAC verification fails: the message was tampered with
- A MAC cannot be forged for a new message
  - But using an old  $(M, T)$ -tuple will lead to verification





# THE UNFORGEABILITY GAME

- Not real/random indistinguishability this time
- Unforgeability of fresh messages:



- Adv. wins iff.  $M^* \notin \{M_1, \dots, M_n\}$  and  $Vf(K; M^*, T^*) = 1$



# GAME DESCRIPTION

- A plays the game against challenger
  - First, challenger generates key, but keeps it private
  - A can query a MAC oracle on messages  $m$ 
    - The challenger uses  $\text{MAC}(k, m)$  to return output
  - Finally, A returns tuple  $(m^*, T^*)$
- A wins iff.  $\text{Vf}(k, m^*, T^*) = 1$  and  $m^*$  not queried to Sign

**Exercise: try to write this def. in game form!**



# UNFORGEABILITY IN GAME NOTATION

- Existential Unforgeability against Chosen Message Attacks – EUF-CMA:

$$K \stackrel{\$}{\leftarrow} \text{KGen}(1^\lambda)$$

$$(M^*, T^*) \leftarrow \mathcal{A}^{\text{MAC}_K(*)}$$

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$\mathcal{A}$  wins iff.  $M^*$  not queried to  $\text{MAC}_K(M^*)$

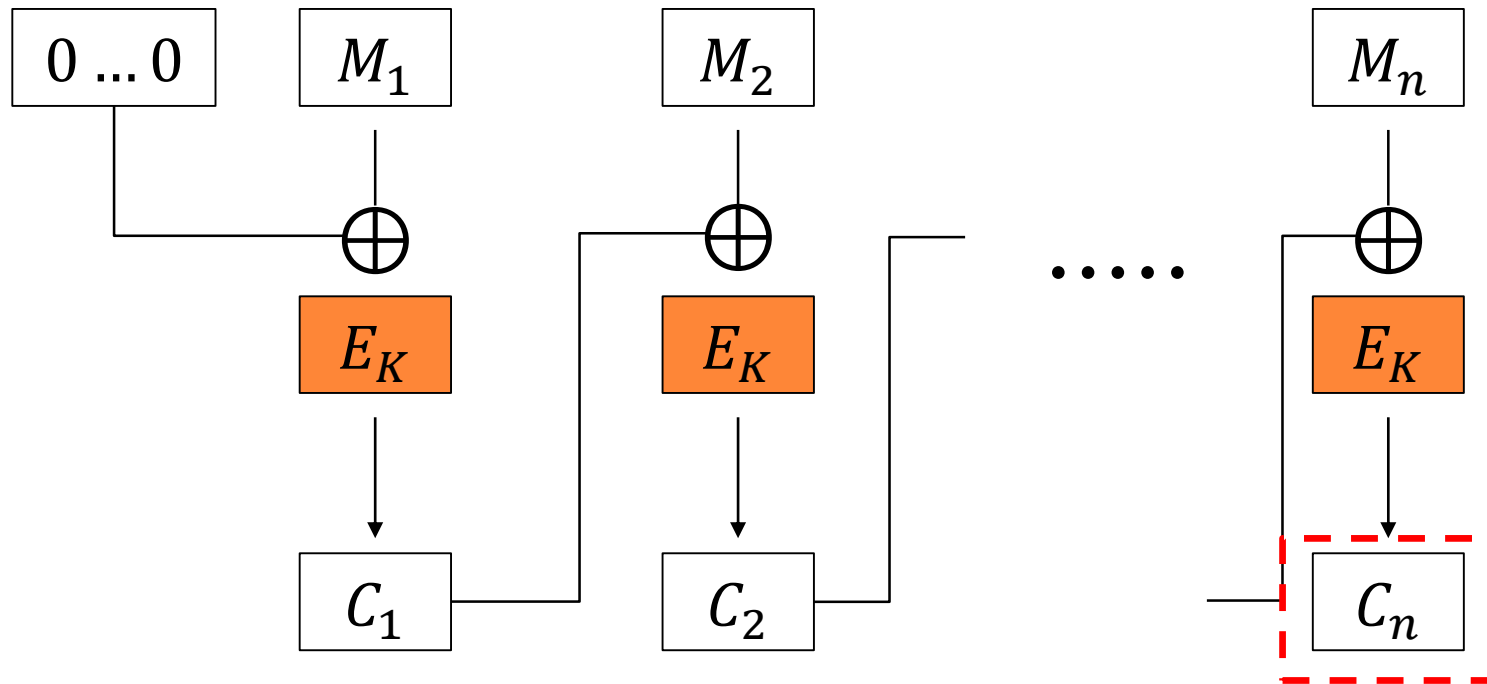
$$\text{Vf}_K(M^*, T^*) = 1$$

- Trivial attacks:
  - A could just guess a correct tag, or a correct key
  - The probability is  $2^{|\text{MAC}_K(*)|} + 2^{|\text{KSpace}|}$
  - Goal: make that probability negligible in  $\lambda$
- $(k, \varepsilon)$ -security:  $\mathcal{A}$  with  $k$  MAC queries wins w.p.  $\varepsilon$



# CONSTRUCTING MACs

- Two ways of doing it:
  - Using block ciphers
  - Based on hash functions (which we will see later)
- CBC-MAC:



# CBC-MAC AND ITS SECURITY

- If the block cipher  $E_K$  is a PRP, then:
  - If we consider only messages of a fixed length, we can prove CBC-MAC is a PRF (no proof here)
  - Any MAC scheme that is a PRF is unforgeable (but not the reverse). **Proof in TDs**
- However, if we can allow messages of ANY length, we can play on prefixes to get a forgery



# A PREFIX-BASED ATTACK

- Ask for the MAC of some 1-block message  $M_1$ :

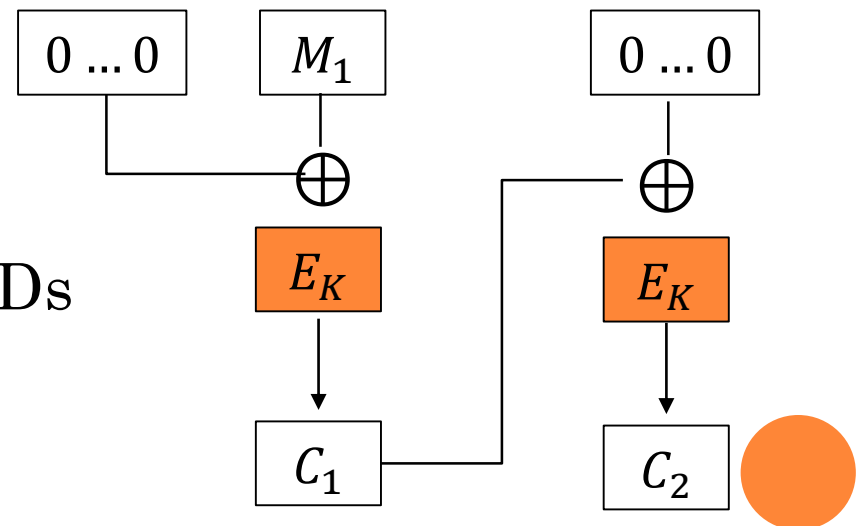
$$C_1 = E_K(0 \oplus M_1)$$

- Then ask for the MAC of this ciphertext:

$$C_2 = E_K(0 \oplus C_1)$$

- Look at MAC of  $M_1 | \mathbf{0}$ 
  - Collision:  $C_1$  and  $M_1 | \mathbf{0}$

- Generalization of attack: TDs

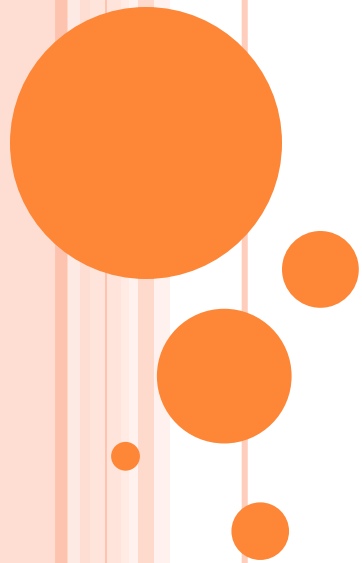


# MACs FOR VARIABLE LENGTHS

- Problem is that MAC of messages of any lengths is of length 1 block exactly (last c-text block)
  - We get collisions of messages of variable length
- Obvious solution: authenticate the length, too.
- Option 1: if length  $n$  is known:  $\text{MAC}(K; n, M_1, \dots, M_n)$ 
  - In theory, perfect; in practice, Vaudenay attacks
- Option 2: length unknown, 1 key:  $\text{MAC}(K; M_1, \dots, M_n, n)$ 
  - Broken in 1984
- Option 3: use 2 keys:  $E_{K'}(\text{MAC}_K(M_1, \dots, M_n))$



# HASH FUNCTIONS AND MACs





# HASH FUNCTIONS

- Another way to build MACs (will see later)
- What is a hash function?
  - Function  $f: \{0,1\}^* \rightarrow \{0,1\}^n$  with variable-length input and fixed-length output
  - Inevitably, this means collisions. **Why?**
  - Ideally not many, and hard to find



# SECURITY OF HASH FUNCTIONS

- Weak collision resistance: for any  $x \in \{0,1\}^*$  it is hard to find  $x' \neq x$  such that  $h(x') = h(x)$ 
  - For any  $x$  (**universal**) there exists no adversary  $\mathcal{A}$  which, given  $x$  and access to  $h$ , can output such an  $x'$  with non-negligible probability
  - **Average**: for  $x \xrightarrow{\$} \{0,1\}^*$ , there exists no adversary  $\mathcal{A}$  which, given  $x$  and access to  $h$ , can output such an  $x'$  with non-negligible probability
- Strong collision resistance: it is hard to find any pair  $x, x' \neq x$  such that  $h(x) = h(x')$ 
  - In general, easier to find than for fixed  $x$



# FINDING COLLISIONS

## ➤ The birthday paradox:

- Probability 1 in 23 people have the same bday as Henri Poincaré (April 29th) :  $23/365$
- Probability that 2 people in 23 have the same birthday :  $\sum_{i=1}^{365} \binom{365}{2} \left(\frac{1}{365}\right)^2$ , which gives about  $\frac{1}{2}$

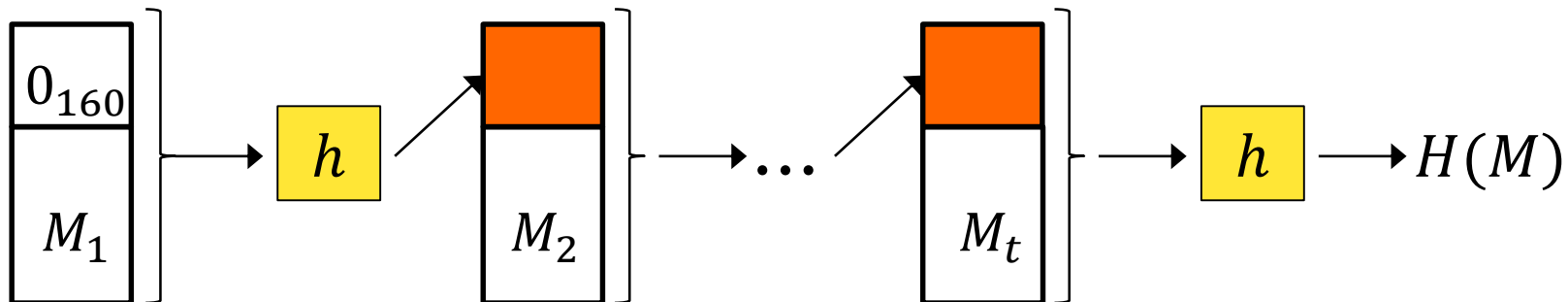
## ➤ What does this mean for us?

- First case: similar to weak collision resistance
- Second case: similar to strong collision resistance



# MERKLE DAMGAARD

- Arbitrary-length input from fixed-length input hash function
- Say  $h: \{0,1\}^{512} \rightarrow \{0,1\}^{160}$  (standard input and output sizes)
  - Want to extend it to  $H: \{0,1\}^* \rightarrow \{0,1\}^{160}$
  - How do we do this?
- MD: kind of CBC-mode extension
  - $M = M_1 \dots M_t$  with length of  $M_i$  equal to  $512-160 = 352$



# SECURITY OF THIS CONSTRUCTION

## ➤ Theorem:

- For any adversary  $\mathcal{A}$  that can find, with non negligible probability  $p_{\mathcal{A}}$ , a collision  $M, M' \neq M$  such that  $H(M) = H(M')$  ...
  - ... There exists an adversary  $\mathcal{B}$  that can find messages  $m, m' \neq m$  with  $h(m) = h(m')$  with non-negligible probability  $p_{\mathcal{B}}$
- Conclusion: as long as  $h$  is collision-resistant,  $H$  is also collision-resistant



# COLLISIONS AND COLLISIONS...

- First signs of weakness:
  - Partial collisions, or collisions only in latter stages of the bigger  $H$  function
- Further weaknesses:
  - First true collisions appear, but they are heavily contrived: it's a strong collision-resistance attack
    - While valid they fail to convince users that this means in a short time the hash function will be broken
- Hash function is “broken”:
  - We get collisions on chosen messages: given certificate  $M$ , we find certificate  $M' = M$  s.t.  $H(M) = H(M')$



# MACs FROM HASH FUNCTIONS

- To key or not to key: MACs use keys, hashes do not
- From no-key to keys:
  - First idea: hash key, then message (key for authentication,  $m$  for integrity): problem is something similar to CBC prefix problem for Merkle Damgaard
  - Second idea: hash message, then key (now message is variable prefix, rather than the constant  $k$ ): can do birthday attack on MAC to find collision in hash function  $h$
  - Better solution: use something like HMAC



# HMAC

- Given key  $K$ , message  $m$ , hash function  $h$ 
  - Also take 2 fixed, known 64-bit strings:  $\text{pad}_{\text{in}}$ ,  $\text{pad}_{\text{out}}$
  - Key  $K$  of 64 bits – or padded to that length if necessary
- HMAC is defined then as:
  - $\text{MAC}_K(m) := h(K \oplus \text{pad}_{\text{out}}, h(K \oplus \text{pad}_{\text{in}}, m))$
- There exists a proof (which we will not cover here), that says that if HMAC is insecure, then:
  - $h$  is not collision resistant; or
  - The output of  $h$  is “predictable”



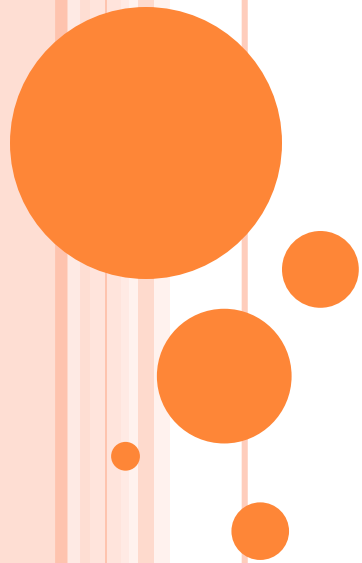


# UNFORGEABILITY, PRF, PRP

- HMACs must only offer unforgeability
- However, the use of the hash function gives more security than just unforgeability
- Pseudorandomness vs. Unforgeability
  - (Keyed) Pseudorandomness (PRP, PRF), always implies unforgeability
  - However, one can have an unforgeable scheme whose output is not indistinguishable from random



# WHAT WE LEARNED TODAY



# CIPHERS

## ➤ Stream ciphers

- Most of them rely on OTP + PRG paradigm
- RC4 is very efficient, but biased and in fact insecure

## ➤ Block ciphers

- Ideally a PRP of a message of a specific length
- Can be extended to longer messages by using modes
  - ECB is bad, CBC is average, CTR seems best
- Ideally they are PRFs



# MESSAGE AUTHENTICATION CODES

- MACs provide a proof of integrity and authentication of sender, by means of a shared key
- Security: MACs should be existentially unforgeable under chosen ciphertext attacks (EUF-CMA)
- Constructions:
  - Based on block ciphers
  - Using hash functions



# HASH FUNCTIONS

- Take input of varying length and outputs fixed-length strings
- Hash functions must be collision-resistant
  - Weak CR: given  $x$ , find  $x'$  with  $H(x) = H(x')$
  - Strong CR: find  $x, x'$  with  $H(x) = H(x')$
  - Can be extended from smaller compression functions to larger hash functions using Merkle Damgaard
- HMAC:
  - Uses hash function twice, with outer and inner pad functions

