



PRPs AND PRFs

Block ciphers, cryptanalysis, symmetric encryption

PERFECTION AND IMPERFECTION

- OTP as perfect cipher:
 - $\text{Prob}[M=m \mid C = c] = \text{Prob}[M=m]$
 - The ciphertext hides all plaintexts with equal probability
 - Perfect security, but size of key space is equal to size of message space
 - Unfortunately this is optimal
- Imperfect ciphers:
 - Goal: diminish key size while retaining some security
 - Consider limited adversaries and computational advantage
 - Using PRG instead of random key reduces key size
 - We rely on indistinguishability of PRG from true randomness

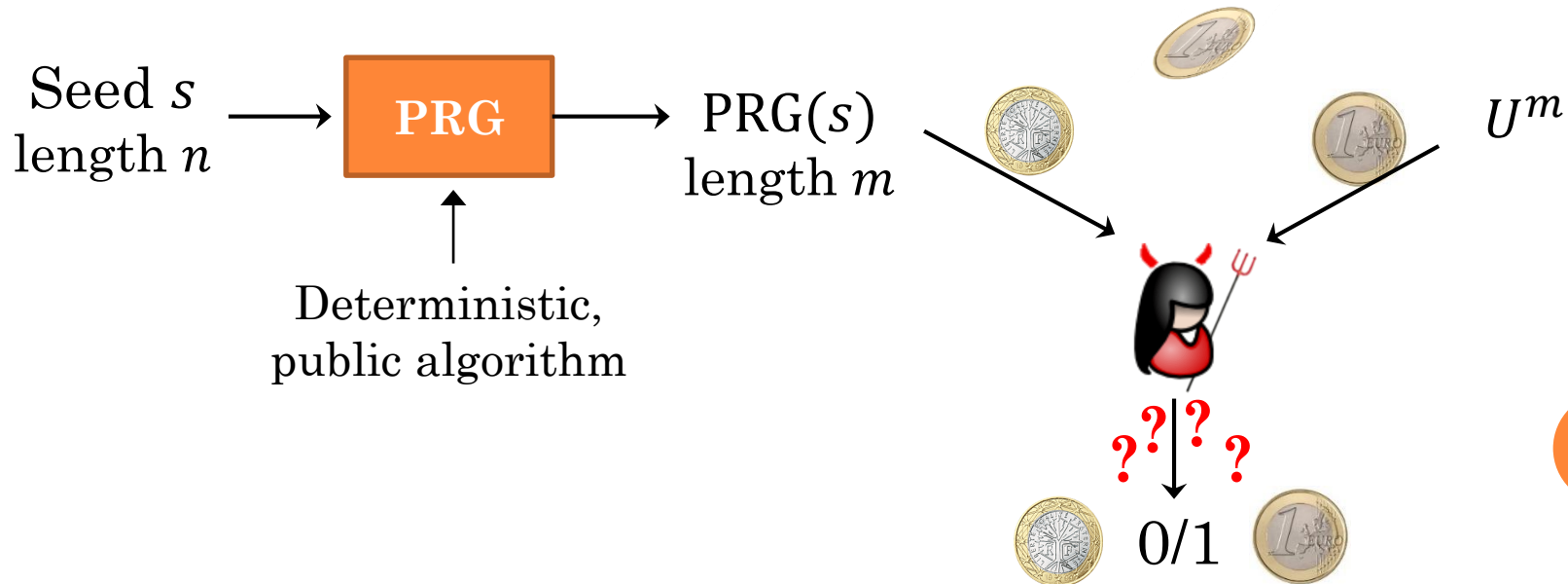


PSEUDORANDOM GENERATORS (PRG)

- Principle: start from a small, random string (called a seed), get a larger string that looks random

$$\text{PRG} : \{0,1\}^n \rightarrow \{0,1\}^m \quad \text{for } m > n$$

- Security: a “good” PRG outputs strings that are indistinguishable from random (by an adversary)



THE SECURE-PRG GAME

➤ $s \stackrel{\$}{\leftarrow} \{0,1\}^n$

$b \stackrel{\$}{\leftarrow} \{0,1\}$

$d \leftarrow \mathcal{A}^{Gen_b(\cdot)}(m, n, PRG)$

\mathcal{A} wins iff. $b = d$

$Gen_b(\cdot)$:

If $b = 1$ then $x \stackrel{\$}{\leftarrow} U^m$

Else $x \leftarrow PRG(s)$

Return x

➤ Unbounded vs. bounded \mathcal{A}

- Unbounded: as many calls to Gen_b as \mathcal{A} wants
- Bounded: only polynomially many calls, poly-runtime
 - k -bounded: only k calls, poly-runtime

➤ **(k, ϵ) -Secure PRG**: G is a k -bounded-secure PRG if, and only if, any k -bounded adversary \mathcal{A} wins w.p. at most $1/2 + \epsilon$

- (asymptotically) k -secure: $\epsilon \in \text{Negl}[n]$



PRG IN OTP

➤ Recall the OTP

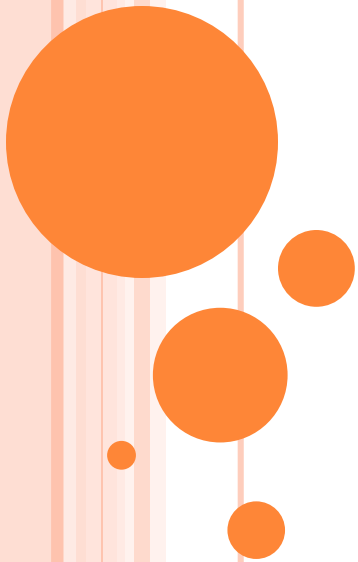
- Traditional OTP for $\mathcal{K} = \mathcal{M} = \{0,1\}^m$
 - Choose random $k \stackrel{\$}{\leftarrow} \mathcal{K}$
 - Encrypt message m to : $c := k \oplus m$
 - Decrypt ciphertext c as: $\hat{m} := c \oplus k$

➤ Now replace random key generation by PRG:

- OTP for $\mathcal{M} = \{0,1\}^m$ with $\mathcal{K} = \{0,1\}^n$ and $n < m$
- Use a bounded-secure PRG $G: \{0,1\}^n \rightarrow \{0,1\}^m$
 - KeyGen: choose (once) $k \stackrel{\$}{\leftarrow} \mathcal{K}$
 - Encrypt message m as $c := G(k) \oplus m$
 - Decrypt message as: $\hat{m} := c \oplus G(k)$



STREAM AND BLOCK CIPHERS



STREAM CIPHERS

- Based on pseudorandom generators
 - Usually in the PRG + OTP structure, encrypting traffic as it is sent
 - Note: symmetric in nature, and require synchronization for the masking string (output of PRG)
- Some examples: SEAL, A5, RC4
 - If PRG is efficient (it usually is), the construction is very fast
 - RC4 is probably the most often used stream cipher today, but some of its output bytes are biased, leading to breaking WEP and TLS + RC4



RC4

- Designed by Ron Rivest in 1987
- Used in protocols like TLS/SSL, WEP, etc.
- Starts with a key of 256 bytes: k_0, \dots, k_{255} (if not long enough, we pad it with itself)
- Also need permutation on (byte) positions $0, \dots, 255$, denoted S , which is shuffled at each round



RC4 DESCRIPTION

- Initialization:
 - $S_0 = 0; S_1 = 1; \dots S_{255} = 255$
 - Key $K_0; \dots K_{255}$
 - Current index $j = 0$
- Permutation of elements of S :
 - For $i = 1$ to 255:
 - $j := (j + S_i + K_i) \bmod 256$
 - Swap S_i and S_j
- Output: byte S_r to XOR to next plaintext byte
 - Update: $i = i + 1 \bmod 256$ and $j = j + S_i \bmod 256$
 - Swap S_i and S_j
 - Output S_r with $r = S_i + S_j \bmod 256$



RC4 PROBLEMS

➤ Ideally:

- We want that the output bytes be uniformly random
- Or at least, that they are indistinguishable from uniformly random, by a poly-time distinguisher

➤ Bias in some of the bits:

- Probability that first two bytes are 0 is $2^{-16} + 2^{-32}$
- More attacks were recently published by Paterson et al.
- At the moment RC4 is discouraged by TLS/SSL (but because it's efficient, it's still being used a lot)



BLOCK CIPHERS

- Stream ciphers pad plaintext with PRG output
 - Principle usually follows OTP
- Block ciphers act like a symmetric encryption on plaintext blocks
 - Idea: plaintext is a string of n bits, e.g. 64, or 128
 - A good permutation of the bits makes the output look unrelated to the input
- Given key K and message M of size n :
 - Encryption Enc_K maps M to a ciphertext C
 - Decryption Dec_K maps ciphertext to plaintext



PERMUTATIONS AND PRPs

➤ Ideally:

- Use a truly random permutation on the input domain
- However, that means we need a key as large as the message

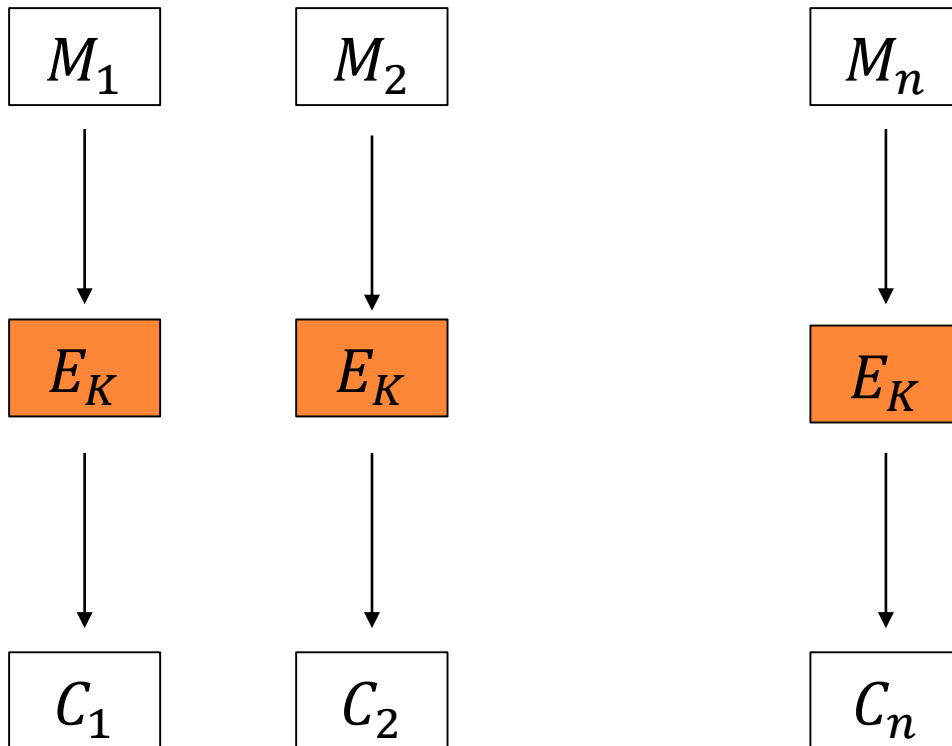
➤ In practice:

- Use a pseudorandom permutation (PRP)
- Then rely on indistinguishability of PRPs from RPs
- The block cipher takes inputs of size n and returns output of same size
 - If we need to encrypt bigger texts, use one of several modes



ECB MODE

- Very simple: encrypt each block separately:



ECB PROPERTIES

➤ Advantages

- Highly efficient and not harder to implement securely than the single-block encryption method
- Parallelizable

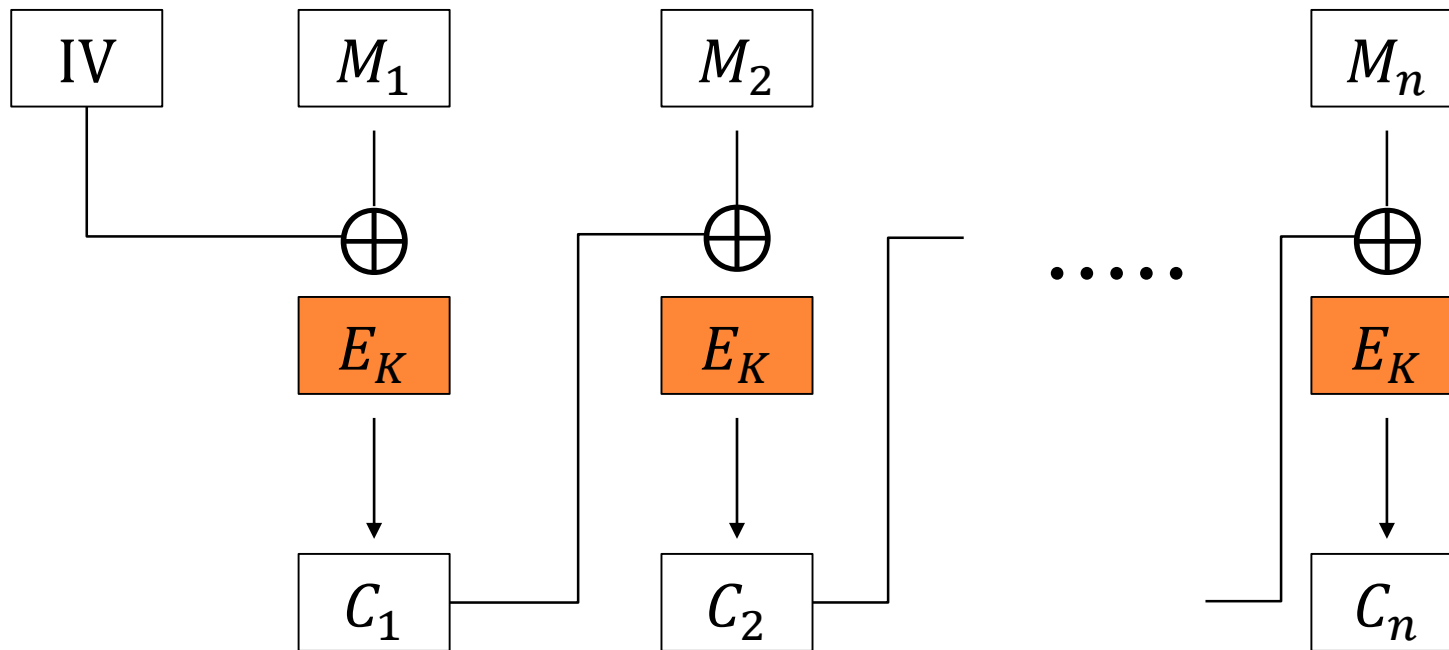
➤ Security:

- What happens if we have repetition in the input message? ($M_1, M_2 = M_1, M_3 \dots$)
- How about substitution/addition of message blocks?
- Known for being insecure against active attackers



CBC MODE

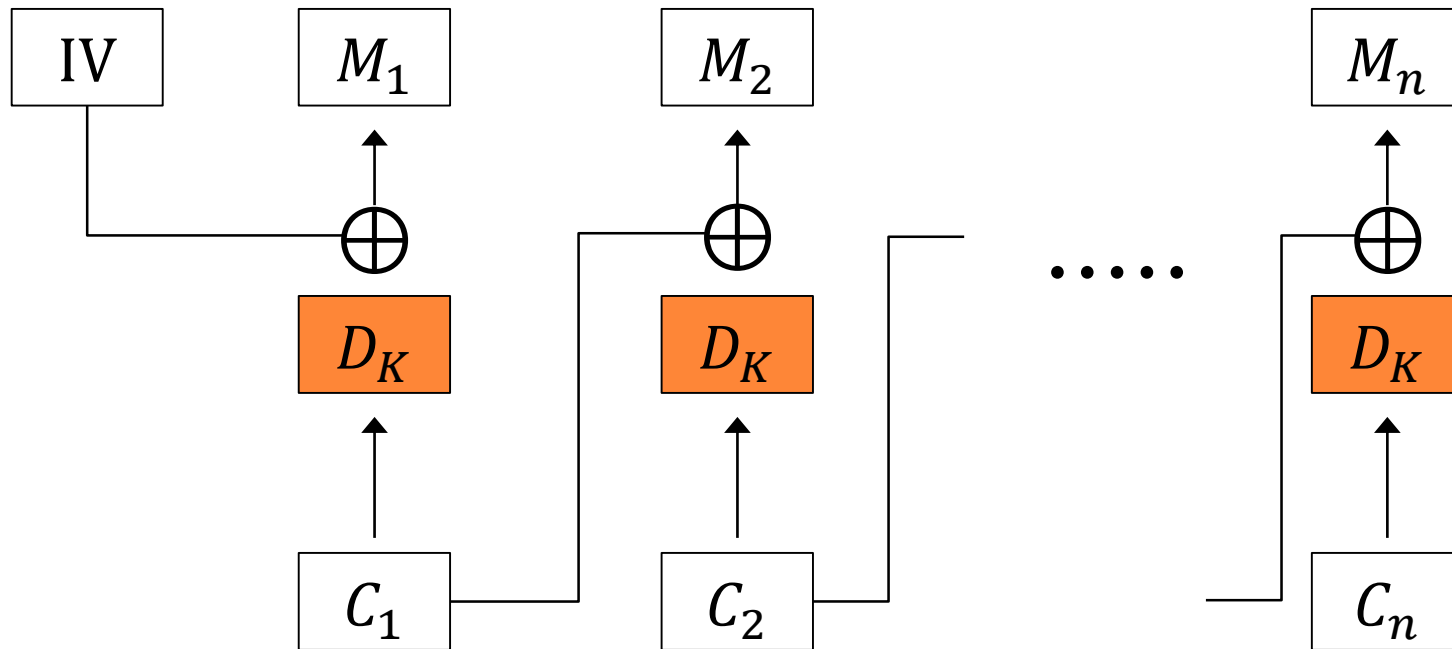
- Link blocks together by using output blocks in the encryption of the following blocks
- An IV is used as a “seed”, but can be sent in clear



CBC PROPERTIES

➤ Error handling:

- Say one ciphertext block is corrupted
- This only affects the decryption of the next block



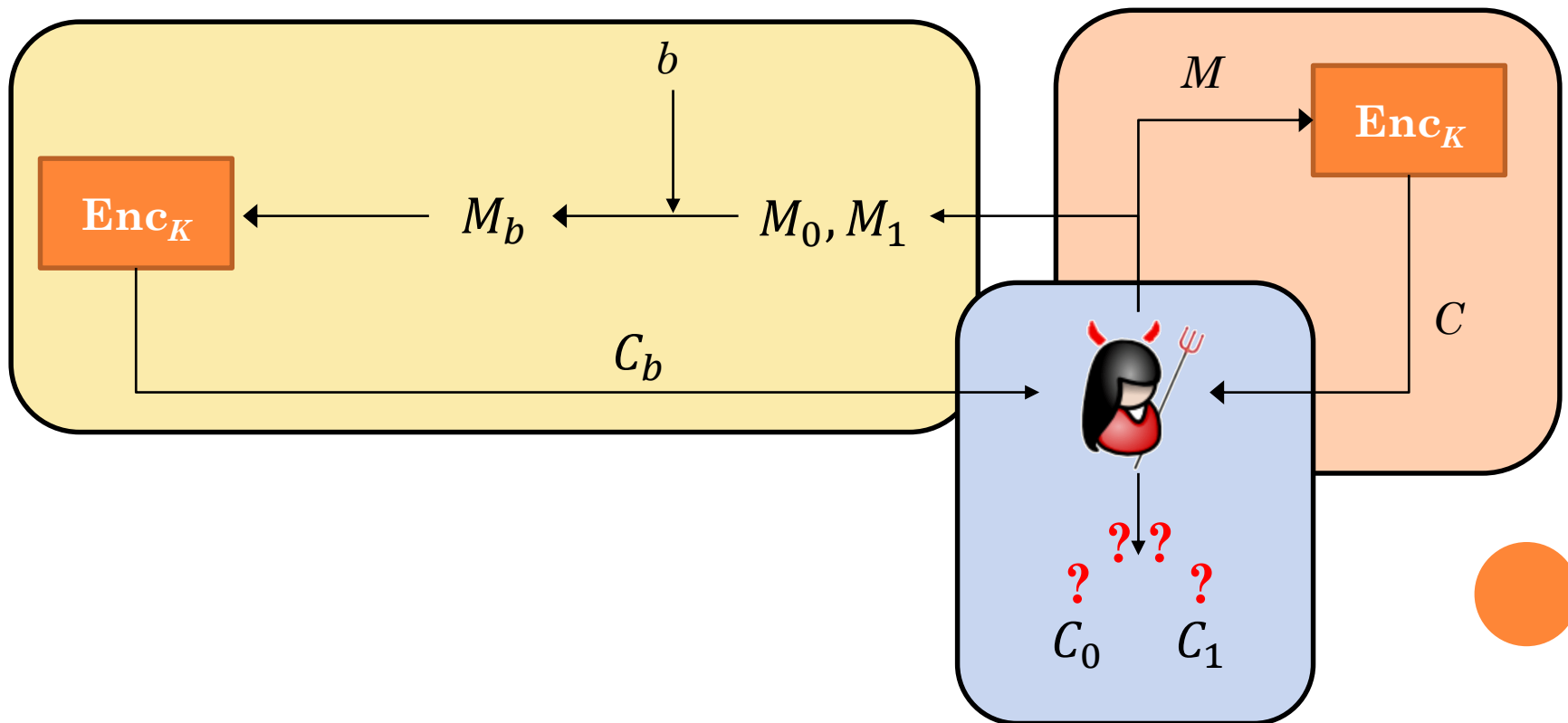
CBC SECURITY

- Not easy to insert messages
- Plaintext patterns (repetitions, etc.) not detectable
- The IV:
 - If IV is chosen uniformly at random and the encryption algorithm is a “good” permutation, then CBC encryption is a “good” encryption scheme
 - If IV is constant, CBC encryption does not hide prefixes
- You will often hear “do not use CBC modes in TLS/SSL”. This is sound advice, but not because of weaknesses in the design of encryption



WHAT IS “GOOD” SYMMETRIC ENCRYPTION?

- Encryption is meant to hide the plaintext
- For symmetric encryption schemes, a standard notion is IND-CPA security:



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- In game syntax:

$$K \leftarrow KGen(1^\lambda)$$

$$(M_0, M_1) \leftarrow \mathcal{A}^{\text{Enc}_K(\cdot)}(1^\lambda)$$

$$C \leftarrow \text{Enc}_K(M_b)$$

$$d \leftarrow \mathcal{A}^{\text{Enc}_K(\cdot)}(C; 1^\lambda)$$

\mathcal{A} wins iff. $d = b$

- (k, ε) -IND-CPA: adversary has k encryption queries, wins w.p. at most $\frac{1}{2} + \varepsilon$



IND-CPA AND DETERMINISTIC ENCRYPTION

➤ A generic IND-CPA attack:

- \mathcal{E} chooses K by running Key Generation
- \mathcal{A} picks M_0, M_1 and sends them to the Enc_K oracle:

$$C_i := \text{Enc}_K(M_i) \quad \text{for } i = 0,1$$

- \mathcal{A} sends M_0, M_1 to \mathcal{E} , who encrypts M_b for $b \stackrel{\$}{\leftarrow} \{0,1\}$:

$$\text{If } b = 0, \text{ then } C := \text{Enc}_K(M_0)$$

$$\text{Else, } C := \text{Enc}_K(M_1) \quad .$$

- When \mathcal{A} receives C , it compares it with C_0, C_1 , then returns $d = i$ if $C = C_i; i \in \{0,1\}$; else \mathcal{A} sets $d \stackrel{\$}{\leftarrow} \{0,1\}$

➤ This always works if the encryption is deterministic. **Why?**



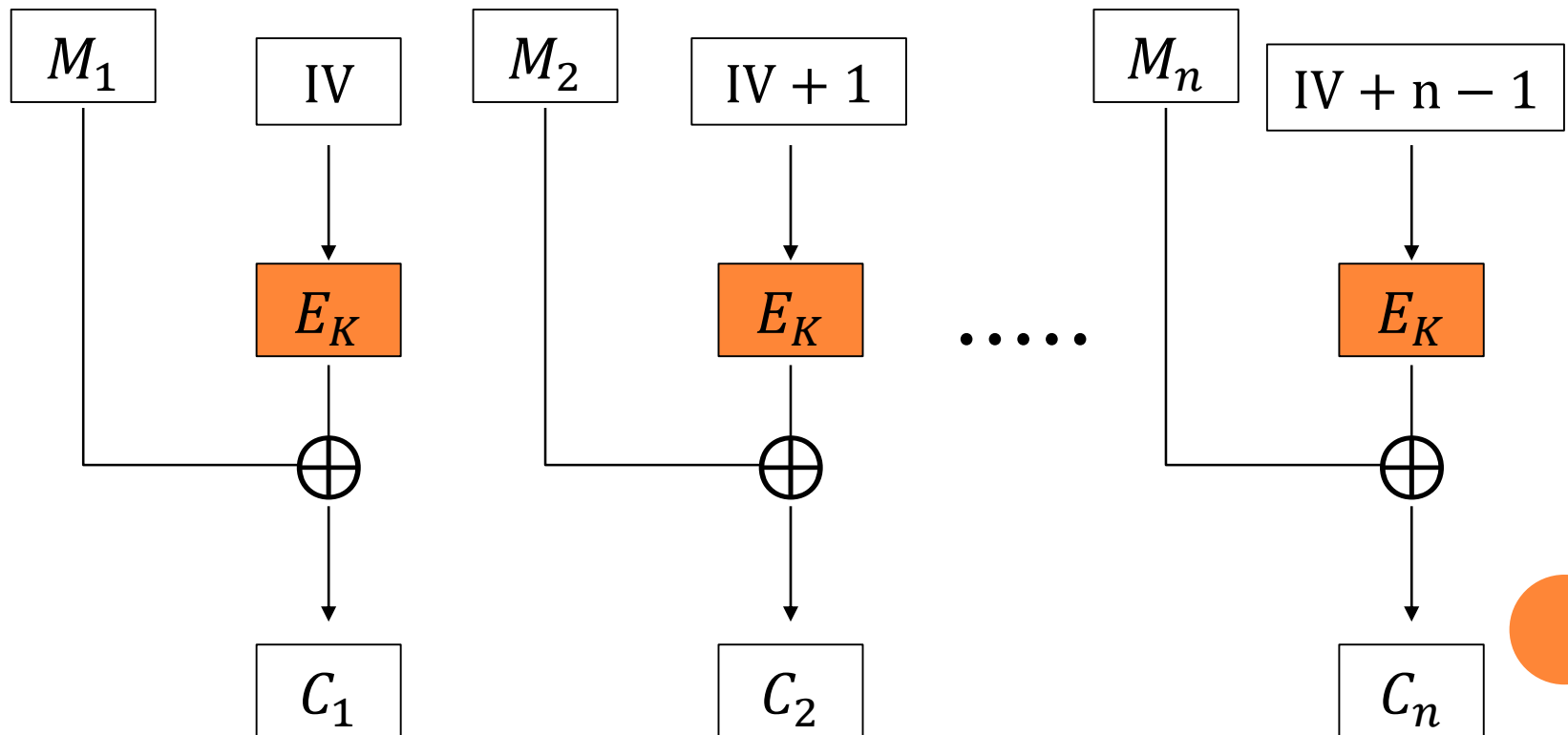
CBC WITH PREDICTABLE IV

- Bug in TLS 1.0: IV for message M' is last ciphertext block of previous message M
- Attack:
 - First ask encryption of 0, receiving $(IV, \text{Enc}_K(IV))$
 - Remember last ciphertext block, call it IV'
 - This is the IV for the next ciphertext
 - Submit $M_0 = IV \oplus IV'$ and a random M_1 to challenger
 - Now, if $b = 0$, then $\text{Enc}_K(IV' \oplus (IV \oplus IV')) = \text{Enc}_K(IV)$



CTR MODE ENCRYPTION

- Different IVs rather than a single one
- Parallelizable; IVs link ciphertext blocks together



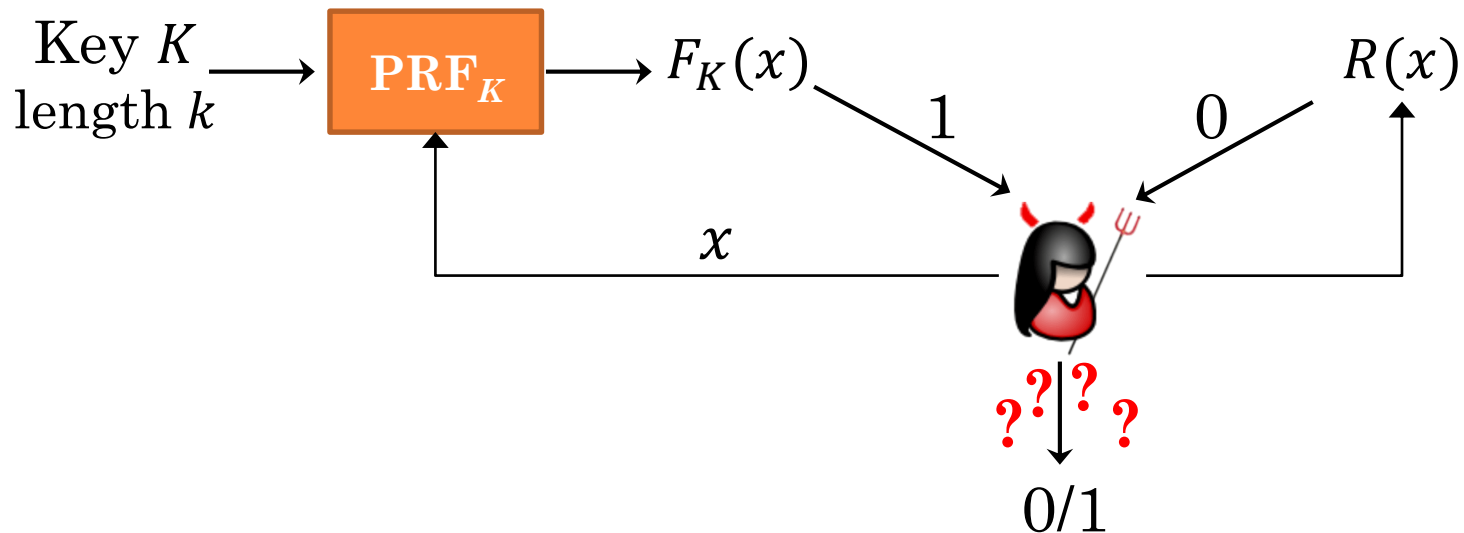
CTR MODE PROPERTIES

- Efficiency and implementation:
 - Fully parallelizable once IV known
 - Some pre-processing can be done (such as encryption of all vectors from IV to IV+n-1)
- Security:
 - Note that this time, the length of IV need not be exactly equal to n
 - Hence, the symmetric encryption scheme is a function, rather than a permutation
 - In CTR mode, if encryption scheme is a PRF, then in CTR mode it has IND-CPA security



WHAT IS A PRF?

- Family of functions $F: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^m$; each function in the family is parametrized on k
- First parameter is the key, chosen only once, so we regard the function as $F_k: \{0,1\}^n \rightarrow \{0,1\}^m$
- Notion of PRF (indistinguishability from random):



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- Notion of PRF (indistinguishability from random):

$k \stackrel{\$}{\leftarrow} \{0,1\}^k$ $d \leftarrow \mathcal{A}^{G_b(*)}$ <hr style="border: 0.5px solid black;"/> $\mathcal{A} \text{ wins iff. } d = b$	$G_b(x)$ <hr style="border: 0.5px solid black;"/> <p style="margin: 0;">If $b = 0$, return $R(x)$ Else, return $F_K(x)$</p>
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- (k, ϵ) -PR-ness: k queries to G_b , \mathcal{A} wins w.p. at most $\frac{1}{2} + \epsilon$



PRFs AND PRPs

- For a keyed function $F_K: \{0,1\}^n \rightarrow \{0,1\}^n$, we may also speak of permutations
 - Permutation: domain and range are the same
 - Bijection: F_K is keyed permutation if for all K , F_K is 1-to-1 (bijective; thus invertible)
- Pseudo-random permutation:
 - Keyed Permutation
 - Indistinguishability from a random permutation: akin to PRF game, but with equal domain/range, and the bijective property



IND-CPA SECURITY FROM PRF

➤ Assumption:

- Use PR function $F: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$
- Choose secret key K of length k as output of Kgen
- Both encryptor and decryptor know F and key K

➤ Encryption of some message $M \in \{0,1\}^n$:

- Pick random number $r \stackrel{\$}{\leftarrow} \{0,1\}^n$
- Encrypt M to $(r; M \oplus F_K(r))$

➤ Decryption of ciphertext $C = (C_1; C_2)$:

- Decrypt C to $\hat{M} := C_2 \oplus F_K(C_1)$



SECURITY OF THIS CONSTRUCTION

➤ IND-CPA security:

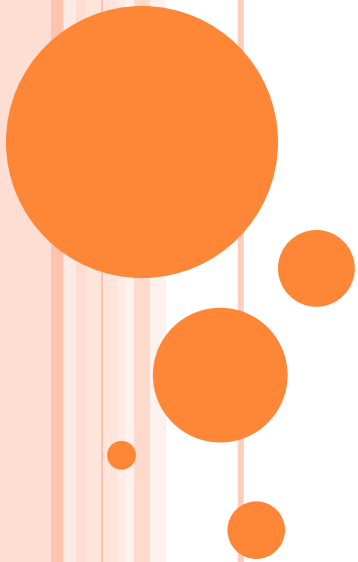
- For any adversary \mathcal{A} against the IND-CPA security of the encryption scheme, making k queries to the encryption oracle and winning w.p. $\frac{1}{2} + \epsilon_A \dots$
- ... There exists an adversary B against the pseudo-randomness of the function F , which makes k queries to its generation oracle, and wins with probability:

$$P_b \geq \frac{1}{2} + \epsilon_A + \frac{k}{2^n}$$

➤ Proof: in TDs



MESSAGE AUTHENTICATION CODES (MACs)



UNFORGEABILITY AND MACS

- Message Authentication Codes prove message integrity and indicate its provenance (sender)
- MACs do not hide the message they authenticate
 - Quite the opposite: often you would send M along
- MACs do not entirely hide the key either
 - They can reveal a part of the key, as long as it is still hard to recover the other part (say a half)
- Their purpose is to authenticate, not to hide



MACs AND UNFORGEABILITY

- Algorithms (KGen, MAC, Vf); parties Alice and Bob
 - KGen outputs a symmetric key K , which is given to Alice and Bob
 - In practice, we need the key space to be very large
 - MAC outputs tag T on input message M and key K
 - Vf outputs a bit (0 or 1) on input message M , a key K , and a tag T
- **Correctness** (of MAC and Vf)
 - For any K, M , if $T = \text{MAC}(K; M)$, it holds $\text{Vf}(K; M, T) = 1$
- **Security**: it should be hard to forge T without K



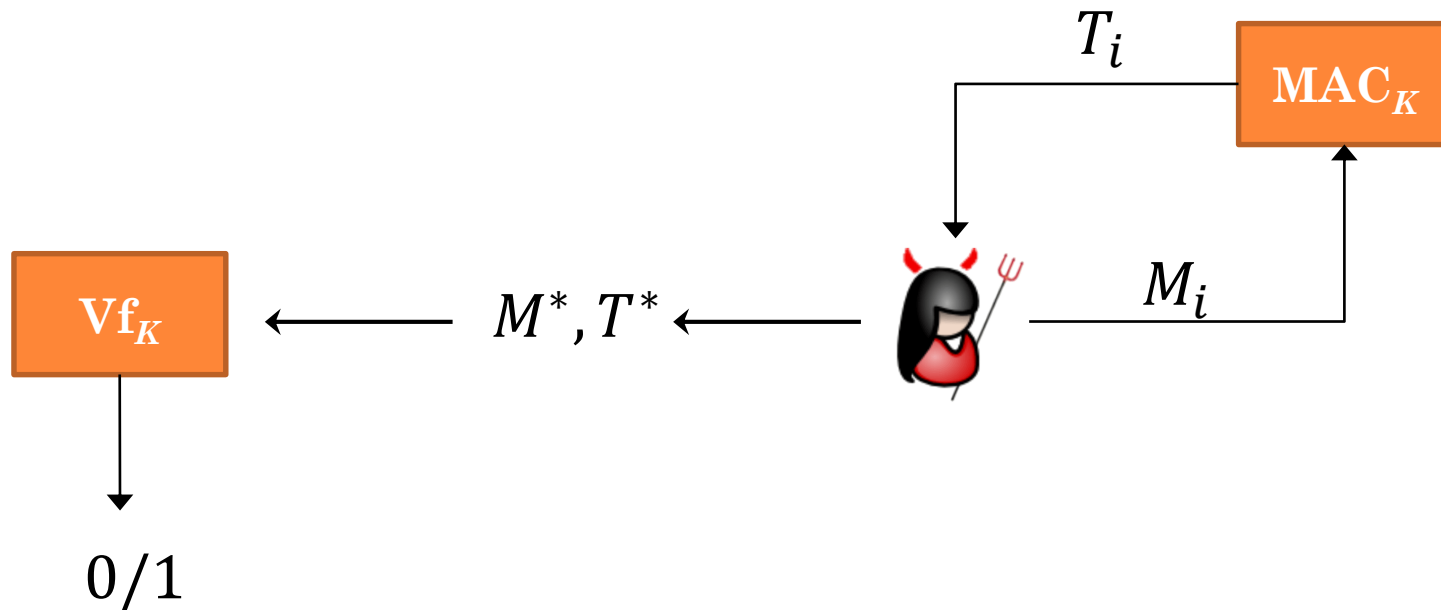
WHY MACs?

- How do we use a MAC?
 - Assume Alice sends message and MAC to Bob
 - Say message is unencrypted, an update or a file
 - An adversary may intercept, change, or replace it
 - Bob receives the message and the MAC
 - Bob verifies the MAC. Ideally:
 - If the MAC verifies: it's Alice's untampered message
 - If the MAC verification fails: the message was tampered with
- A MAC cannot be forged for a new message
 - But using an old (M, T) -tuple will lead to verification



THE UNFORGEABILITY GAME

- Not real/random indistinguishability this time
- Unforgeability of fresh messages:



- Adv. wins iff. $M^* \notin \{M_1, \dots, M_n\}$ and $Vf(K; M^*, T^*) = 1$



UNFORGEABILITY IN GAME NOTATION

- Existential Unforgeability against Chosen Message Attacks – EUF-CMA:

$$K \stackrel{\$}{\leftarrow} \text{KGen}(1^\lambda)$$

$$(M^*, T^*) \leftarrow \mathcal{A}^{\text{MAC}_K(*)}$$

\mathcal{A} wins iff. M^* not queried to $\text{MAC}_K(M^*)$

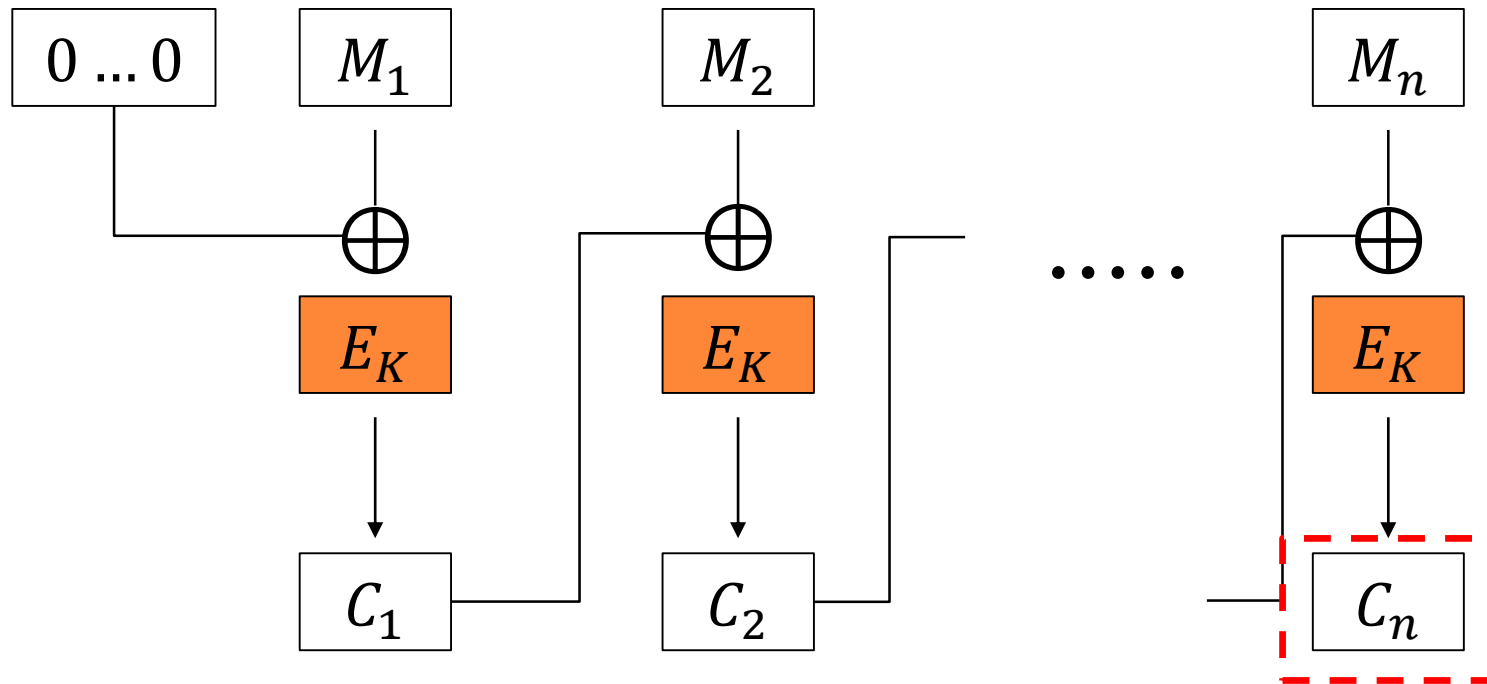
$$\text{Vf}_K(M^*, T^*) = 1$$

- Trivial attacks:
 - A could just guess a correct tag, or a correct key
 - The probability is $2^{-|\text{MAC}_K(*)|} + 2^{-|\text{KSpace}|}$
 - Goal: make that probability negligible in λ
- (k, ε) -security: \mathcal{A} with k MAC queries wins w.p. ε



CONSTRUCTING MACS

- Two ways of doing it:
 - Using block ciphers
 - Based on hash functions (which we will see later)
- CBC-MAC:



CBC-MAC AND ITS SECURITY

- If the block cipher E_K is a PRP, then:
 - If we consider only messages of a fixed length, we can prove CBC-MAC is a PRF (no proof here)
 - Any MAC scheme that is a PRF is unforgeable (but not the reverse). **Proof in TDs**
- However, if we can allow messages of ANY length, we can play on prefixes to get a forgery



A PREFIX-BASED ATTACK

- Ask for the MAC of some 1-block message M_1 :

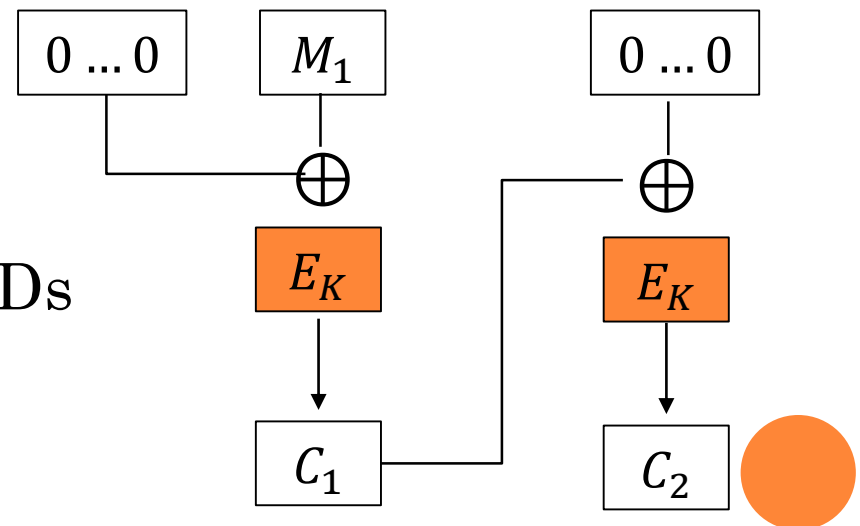
$$C_1 = E_K(0 \oplus M_1)$$

- Then ask for the MAC of this ciphertext:

$$C_2 = E_K(0 \oplus C_1)$$

- Look at MAC of $M_1 | \mathbf{0}$
 - Collision: C_1 and $M_1 | \mathbf{0}$

- Generalization of attack: TDs



MACs FOR VARIABLE LENGTHS

- Problem is that MAC of messages of any lengths is of length 1 block exactly (last c-text block)
 - We get collisions of messages of variable length
- Obvious solution: authenticate the length, too.
- Option 1: if length n is known: $\text{MAC}(K; n, M_1, \dots, M_n)$
 - In theory, perfect; in practice, Vaudenay attacks
- Option 2: length unknown, 1 key: $\text{MAC}(K; M_1, \dots, M_n, n)$
 - Broken in 1984
- Option 3: use 2 keys: $E_{K'}(\text{MAC}_K(M_1, \dots, M_n))$



HASH FUNCTIONS

- Another way to build MACs (will see later)
- What is a hash function?
 - Function $f: \{0,1\}^* \rightarrow \{0,1\}^n$ with variable-length input and fixed-length output
 - Inevitably, this means collisions. **Why?**
 - Ideally not many, and hard to find



SECURITY OF HASH FUNCTIONS

- Weak collision resistance: for any $x \in \{0,1\}^*$ it is hard to find $x' \neq x$ such that $h(x') = h(x)$
 - For any x (**universal**) there exists no adversary \mathcal{A} which, given x and access to h , can output such an x' with non-negligible probability
 - **Average**: for $x \xrightarrow{\$} \{0,1\}^*$, there exists no adversary \mathcal{A} which, given x and access to h , can output such an x' with non-negligible probability
- Strong collision resistance: it is hard to find any pair $x, x' \neq x$ such that $h(x) = h(x')$
 - In general, easier to find than for fixed x



FINDING COLLISIONS

➤ The birthday paradox:

- Probability 1 in 23 people have the same bday as Henri Poincaré (April 29th) : $23/365$
- Probability that 2 people in 23 have the same birthday : $\sum_{i=1}^{365} \binom{365}{2} \left(\frac{1}{365}\right)^2$, which gives about $\frac{1}{2}$

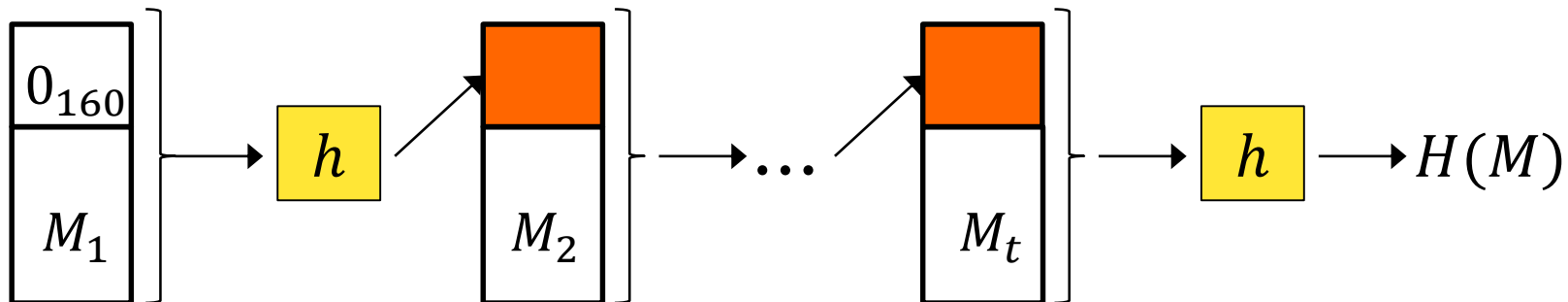
➤ What does this mean for us?

- First case: similar to weak collision resistance
- Second case: similar to strong collision resistance



MERKLE DAMGAARD

- Arbitrary-length input from fixed-length input hash function
- Say $h: \{0,1\}^{512} \rightarrow \{0,1\}^{160}$ (standard input and output sizes)
 - Want to extend it to $H: \{0,1\}^* \rightarrow \{0,1\}^{160}$
 - How do we do this?
- MD: kind of CBC-mode extension
 - $M = M_1 \dots M_t$ with length of M_i equal to $512-160 = 352$



SECURITY OF THIS CONSTRUCTION

➤ Theorem:

- For any adversary \mathcal{A} that can find, with non negligible probability $p_{\mathcal{A}}$, a collision $M, M' \neq M$ such that $H(M) = H(M')$...
- ... There exists an adversary \mathcal{B} that can find messages $m, m' \neq m$ with $h(m) = h(m')$ with non-negligible probability $p_{\mathcal{B}}$

➤ Proof: in TDs

- Conclusion: as long as h is collision-resistant, H is also collision-resistant



COLLISIONS AND COLLISIONS...

- First signs of weakness:
 - Partial collisions, or collisions only in latter stages of the bigger H function
- Further weaknesses:
 - First true collisions appear, but they are heavily contrived: it's a strong collision-resistance attack
 - While valid they fail to convince users that this means in a short time the hash function will be broken
- Hash function is “broken”:
 - We get collisions on chosen messages: given certificate M , we find certificate $M' = M$ s.t. $H(M) = H(M')$



MACs FROM HASH FUNCTIONS

- To key or not to key: MACs use keys, hashes do not
- From no-key to keys:
 - First idea: hash key, then message (key for authentication, m for integrity): problem is something similar to CBC prefix problem for Merkle Damgaard
 - Second idea: hash message, then key (now message is variable prefix, rather than the constant k): can do birthday attack on MAC to find collision in hash function h
 - Better solution: use something like HMAC



HMAC

- Given key K , message m , hash function h
 - Also take 2 fixed, known 64-bit strings: pad_{in} , pad_{out}
 - Key K of 64 bits – or padded to that length if necessary
- HMAC is defined then as:
 - $\text{MAC}_K(m) := h(K \oplus \text{pad}_{\text{out}}, h(K \oplus \text{pad}_{\text{in}}, m))$
- There exists a proof (which we will not cover here), that says that if HMAC is insecure, then:
 - h is not collision resistant; or
 - The output of h is “predictable”



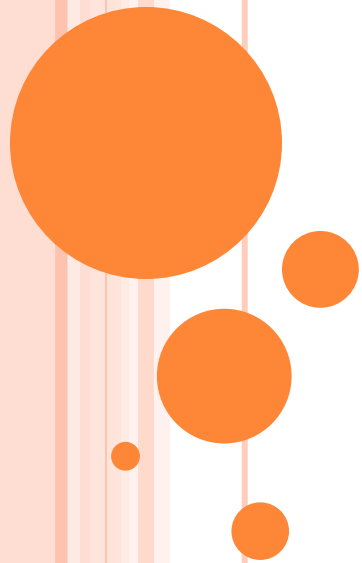
UNFORGEABILITY, PRF, PRP

- HMACs must only offer unforgeability
- However, the use of the hash function gives more security than just unforgeability

- Pseudorandomness vs. Unforgeability
 - (Keyed) Pseudorandomness (PRP, PRF), always implies unforgeability
 - However, one can have an unforgeable scheme whose output is not indistinguishable from random



WHAT WE LEARNED TODAY



CIPHERS

➤ Stream ciphers

- Most of them rely on OTP + PRG paradigm
- RC4 is very efficient, but biased and in fact insecure

➤ Block ciphers

- Ideally a PRP of a message of a specific length
- Can be extended to longer messages by using modes
 - ECB is bad, CBC is average, CTR seems best
- Ideally they are PRFs



MESSAGE AUTHENTICATION CODES

- MACs provide a proof of integrity and authentication of sender, by means of a shared key
- Security: MACs should be existentially unforgeable under chosen ciphertext attacks (EUF-CMA)
- Constructions:
 - Based on block ciphers
 - Using hash functions



HASH FUNCTIONS

- Take input of varying length and outputs fixed-length strings
- Hash functions must be collision-resistant
 - Weak CR: given x , find x' with $H(x) = H(x')$
 - Strong CR: find x, x' with $H(x) = H(x')$
 - Can be extended from smaller compression functions to larger hash functions using Merkle Damgaard
- HMAC:
 - Uses hash function twice, with outer and inner pad functions

